# SMALLEST POSETS WITH GIVEN CYCLIC AUTOMORPHISM GROUP

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ABSTRACT. For each  $n \ge 1$  we determine the minimum number of points in a poset with cyclic automorphism group of order n.

# 1. Introduction

In 1938 R. Frucht [7] proved that any finite group can be realized as the automorphism group of a graph. Moreover, the graph can be taken with 3d|G| vertices, where d is the cardinality of any generator set of G ([8, Theorems 3.2, 4.2]). In 1959 G. Sabidussi [11] showed that in fact  $O(|G|\log(d))$  vertices suffice. In 1974 L. Babai proved that the number of generators is not relevant, and with exception of the cyclic groups  $\mathbb{Z}_3$ ,  $\mathbb{Z}_4$  and  $\mathbb{Z}_5$ , the graph can be taken with just 2|G| vertices. Sabbidussi claims in [11] that he was able to compute the smallest number of vertices  $\alpha(G)$  in a graph with automorphism group G in the case that G is cyclic of prime power order. Also, he asserts that for  $n = p_1^{r_1} p_2^{r_2} \dots p_k^{r_k}$ ,

 $\alpha(\mathbb{Z}_n) = \sum_{i=1}^k \alpha(\mathbb{Z}_{p_i^{r_i}})$ . Unfortunately both his computations for  $\mathbb{Z}_{p^r}$  and the assertion are wrong. In [10] R.L. Meriwether rectifies these errors and correctly determines  $\alpha(\mathbb{Z}_n)$  for any  $n \geq 1$ . However, he commits similar mistakes when trying to extend this computation to arbitrary finite abelian groups. In [1, 2] W. Arlinghaus provides a complete calculation of  $\alpha(G)$  for G finite abelian. The proof follows these steps. First compute  $\alpha(G)$  for G cyclic of prime power order, then for arbitrary finite cyclic groups, then for abelian p-groups and finally, the general case.

In parallel, the analogous problem was studied for partially ordered sets. In 1946 G. Birkhoff [6] proved that for any finite group G there is a poset of |G|(|G|+1) points and automorphism group isomorphic to G. Then Frucht [9] improved this to (d+2)|G| points. In 1980 Babai [4] proved that 3|G| points are enough. However, the smallest number  $\beta(G)$  of points of a poset with an arbitrary finite abelian group G of automorphisms has not yet been determined. In this paper we compute  $\beta(G)$  for every finite cyclic group G.

Corollary 12. Let  $n=p_1^{r_1}p_2^{r_2}\dots p_k^{r_k}$ , where the  $p_i$  are different primes and  $r_i\geq 1$  for every i. Then the minimum number  $\beta(\mathbb{Z}_n)$  of points in a poset with cyclic automorphism group of order n is  $\sum\limits_{i=1}^k b(p_i^{r_i})p_i^{r_i}-1$  if  $3|n,4|n,9\nmid n$  and  $8\nmid n$ , and it is  $\sum\limits_{i=1}^k b(p_i^{r_i})p_i^{r_i}$  otherwise. Here  $b(2)=1,\ b(3)=b(4)=b(5)=b(7)=3,\ and\ b(p^r)=2$  for any other prime power.

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This result was first announced in [5]. In [5] we computed first  $\beta(G)$  for G cyclic of prime power order, then for arbitrary finite cyclic and for finite abelian p-groups with  $p \geq 11$ , following the steps of the proof of the graph case exposed by Arlinghaus. The calculation of  $\beta(\mathbb{Z}_n)$  in this paper is more direct than the original we gave in [5]. Just as in graphs, the bound  $\beta(\mathbb{Z}_n) \leq \sum_{i=1}^k \beta(\mathbb{Z}_{p_i^{r_i}})$  holds for  $n = p_1^{r_1} p_2^{r_2} \dots p_k^{r_k}$ , but not the equality, in general. For instance  $\beta(\mathbb{Z}_{12}) = \beta(\mathbb{Z}_3) + \beta(\mathbb{Z}_4) - 1$ . The case of p-groups will not be addressed in this article.

In Section 2 we construct explicit examples which provide an upper bound for  $\beta(\mathbb{Z}_n)$ . In Section 3 we prove some lemmas concerning the cyclic structure of a generator of  $\operatorname{Aut}(P)$  for a poset P with cyclic automorphism group. In the last section we introduce the notion of weight of a prime power in a cycle, which we use in the proof of the lower bound.

### 2. Construction of the examples

A poset is a set with a partial order  $\leq$ . The elements of the underlying set of a poset are called points. All posets are assumed to be finite, that is, their underlying set is finite. If P is a poset and  $x, y \in P$ , we write x < y if  $x \leq y$  and  $x \neq y$ . We say that y covers x if x < y and there is no x < z < y. The edges of P are the pairs (x, y) such that y covers x. The Hasse diagram of P is the digraph whose vertices are the points of P and the edges are the edges of P. If the orientation of an arrow is not indicated in the graphical representation of the Hasse diagram, we assume it points upwards. A morphism  $P \to Q$  of posets is an order-preserving map, i.e. a function f between the underlying sets such that for every pair  $x, y \in P$  with  $x \leq y$  we have  $f(x) \leq f(y)$ . If P is a poset, since it is finite, an automorphism of P is just a permutation of the underlying set which is a morphism. A subposet of a poset P is a subset of the underlying set with the inherited order. Given an automorphism g of a poset P, we say that a subset P of the underlying set of P is invariant or P-invariant if P in this case, P induces an automorphism on the subposet with underlying set P.

**Definition 1.** Define b(1) = 0, b(2) = 1, b(3) = b(4) = b(5) = b(7) = 3. For any other prime power  $p^r$ , define  $b(p^r) = 2$ .

We denote by  $\mathbb{Z}_n = \{0, 1, \dots, n-1\}$  the additive group of integers modulo n.

**Proposition 2.** Let  $n = p^r$ , where  $p \ge 2$  is a prime and  $r \ge 0$ . Then there exists a poset P with b(n)n points and automorphism group Aut(P) isomorphic to  $\mathbb{Z}_n$ .

Proof. For n=1 we take the empty poset and for n=2 we take the discrete poset on 2 points. By discrete we mean an antichain, i.e. a poset of pairwise incomparable elements. If n=3,4,5,7 we use the following well-known general construction [9]:  $P=\mathbb{Z}_n\times\{0,1,2\}$  with the order (i,2)>(i,1)>(i,0)<(i+1,2) for every  $i\in\mathbb{Z}_n$ . It is easy to see that such poset satisfies  $\operatorname{Aut}(P)\simeq\mathbb{Z}_n$ . Suppose then that  $n\geq 8$ . We take two copies of  $\mathbb{Z}_n$ :  $A=\mathbb{Z}_n=\{0,1,\ldots,n-1\}$  and  $A'=\{0',1',\ldots,(n-1)'\}$ . Let  $S=\{0,1,2,4\}\subseteq\mathbb{Z}_n$ . For  $i\in A$  and  $j'\in A'$  we set i< j' if  $j-i\in S$ . Any two elements in the same copy of  $\mathbb{Z}_n$  are not comparable (see Figure 1). We will prove that the automorphism group of this poset P is  $\mathbb{Z}_n$ . It is clear that  $G=\mathbb{Z}_n$  acts regularly on each copy of  $\mathbb{Z}_n$  by addition, and this gives a faithful action  $G\to\operatorname{Aut}(P)$  on P. So G can be seen as a subgroup of  $\operatorname{Aut}(P)$ . Since each automorphism of P maps  $0\in A$  to another minimal element of P, the order of

the  $\operatorname{Aut}(P)$ -orbit of  $0 \in P$  is n. If we prove that the  $\operatorname{Aut}(P)$ -stabilizer of  $0 \in P$  is trivial, then  $|\operatorname{Aut}(P)| = n$ , so  $\operatorname{Aut}(P)$  is isomorphic to G. Let  $h \in \operatorname{Aut}(P)$  be such that h(0) = 0.

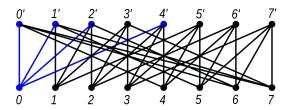


FIGURE 1. The Hasse diagram of P for n = 8.

We define the double neighborhood B(i) of  $i \in A$  as the set of those  $j \in A$  such that  $\#(P_{>i} \cap P_{>j}) \geq 2$ , that is, there are at least two points in A' greater than both, i and j. The reduced double neighborhood of  $i \in A$  is  $\hat{B}(i) = B(i) \setminus \{i\}$ . Since h is an automorphism, B(h(i)) = h(B(i)) and  $\hat{B}(h(i)) = h(\hat{B}(i))$ . Given  $k \geq 1$ , we say that two points  $i, j \in A$  are k-adjacent if  $\#(B(i) \cap B(j)) = k$ , and they are reduced k-adjacent if  $\#(\hat{B}(i) \cap \hat{B}(j)) = k$ . Clearly, h preserves k-adjacency and reduced k-adjacency. Suppose first that  $n \geq 9$ . Then for each  $i \in A$ ,  $B(i) = \{i - 2, i - 1, i, i + 1, i + 2\}$ . It is easy to see that i, j are 4-adjacent if and only if  $i - j = \pm 1$ . Thus, h induces an automorphism of the cyclic graph on A with edges given by 4-adjacency. Since h(0) = 0, h is either the identity  $1_{\mathbb{Z}_n}$  or  $-1_{\mathbb{Z}_n}$ . The second case cannot occur as  $\{0, 2, 3, 4\}$  has an upper bound while  $\{0, -2, -3, -4\}$  does not. Thus every point of A is fixed by h. If  $j' \in A'$ , then j' is the unique upper bound of  $\{j, j - 1, j - 2, j - 4\}$ . Thus h(j') = j'. This proves that  $h = 1_P$ .

Finally, suppose n = 8. Given  $i \in A$ , we have now  $\hat{B}(i) = \{i-2, i-1, i+1, i+2, i+4\}$  and  $i, j \in A$  are reduced 4-adjacent if and only if  $i-j = \pm 3$ . Thus, h induces an automorphism in the cyclic graph on A with edges given by reduced 4-adjacency. Then  $h = 1_{\mathbb{Z}_n}$  or  $-1_{\mathbb{Z}_n}$ . The second case cannot occur for the same reason as before. Since each point in A' is determined by the set of smaller points,  $h = 1_P$ .

**Example 3.** There exists a poset P with 20 points and automorphism group isomorphic to  $\mathbb{Z}_{12}$ .

Take two copies  $A = \{0, 1, 2, 3, 4, 5\}$ ,  $A' = \{0', 1', 2', 3', 4', 5'\}$  of  $\mathbb{Z}_6$  and two copies  $B = \{0'', 1'', 2'', 3''\}$ ,  $B' = \{0''', 1''', 2''', 3'''\}$  of  $\mathbb{Z}_4$ . The underlying set of P is the union of these four sets. Let  $S = \{0, 1, 3\} \subseteq \mathbb{Z}_6$ ,  $T = \{0, 1\} \subseteq \mathbb{Z}_4$ . Define the following order in P: i < j' if  $j - i \in S$ , i'' < j''' if  $j - i \in T$ , i''' < j' if j - i is even, i'' < j if j - i is even, i'' < j' for every i, j (see Figure 2).

It is clear that  $G = \mathbb{Z}_{12}$  acts in each copy of  $\mathbb{Z}_6$  and of  $\mathbb{Z}_4$  by addition. This induces a faithful action of G on P. If  $h \in \operatorname{Aut}(P)$ , h(0'') must be a minimal point i'' and h(0') must be a maximal point j'. However i, j cannot have different parity. Indeed, among the points 0, 2, 4, 0''', 1''' which cover 0'', there are just two 0, 0''' smaller than 0'. However, if  $i \in \mathbb{Z}_4$  and  $j \in \mathbb{Z}_6$  have different parity, among the points covering i'' ( $k \in A$  with  $k \equiv i(2)$  and i''', (i+1)''') there are three smaller than j': both j-1, j-3, and one of i''', (i+1)'''. Thus  $i \equiv j(2)$ , which implies that the  $\operatorname{Aut}(P)$ -orbit of the set  $\{0', 0''\}$  has at most 12 elements. If we prove that the  $\operatorname{Aut}(P)$ -stabilizer of  $\{0', 0''\}$  is trivial, then  $|\operatorname{Aut}(P)| \leq 12 = |G|$ , so  $\operatorname{Aut}(P)$  is isomorphic to G. Let h be an automorphism of P which fixes 0' and 0''.

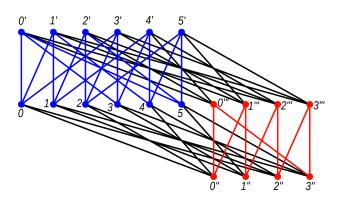


FIGURE 2. A poset P of 20 points and  $Aut(P) \simeq \mathbb{Z}_{12}$ .

Note that 2'' is the unique minimal point different from 0'' which is covered by three points that cover 0''. Thus h(2'') = 2''. Now, the points of B' are the unique points of P which cover exactly one of 0'', 2''. Thus B' is invariant. This implies that h restricts to an automorphism of the subposet R with underlying set  $B \cup B'$  and of the subposet Q with set  $A \cup A'$ . Since R is a cycle, there are only two automorphisms of R fixing 0''. One is the identity and the other maps 0''' to 1'''. However, 0''' < 0' while  $1''' \nleq 0'$ . Thus 0''' is fixed by h and then h is the identity of R.

Suppose that  $i' \in A'$  is a fixed point. Among the points i, i-1, i-3 in A covered by i', only i-1 and i-3 share a lower bound. Thus h(i)=i. Similarly, among the points (i-4)', (i-2)', (i-1)' of A' not covering i, only (i-4)' and (i-2)' share a lower bound in B'. Thus (i-1)' is fixed. In conclusion, we showed that i' fixed implies that both i and (i-1)' are fixed. Since 0' is fixed, this implies that every point of A and of A' is fixed. Thus  $h=1_P$ .

We say that a prime power  $p^r$   $(r \ge 1)$  exactly divides an integer n, and write  $p^r \parallel n$ , if  $p^r \mid n$  and  $p^{r+1} \nmid n$ .

**Theorem 4.** Let  $n = p_1^{r_1} p_2^{r_2} \dots p_k^{r_k}$  where the  $p_i$  are different primes and  $r_i \ge 1$  for every i. Then there exists a poset with automorphism group isomorphic to  $\mathbb{Z}_n$  and  $\sum_{i=1}^k b(p_i^{r_i}) p_i^{r_i} - 1$  points if  $3 \parallel n$  and  $4 \parallel n$ , and with  $\sum_{i=1}^k b(p_i^{r_i}) p_i^{r_i}$  points otherwise.

Proof. By Proposition 2, for each  $1 \leq i \leq k$  there exists a poset  $P_i$  with  $b(p_i^{r_i})p_i^{r_i}$  points and  $\operatorname{Aut}(P_i) \simeq \mathbb{Z}_{p_i^{r_i}}$ . The non-Hausdorff join or ordinal sum  $P = P_1 \oplus P_2 \oplus \ldots \oplus P_k$  is constructed by taking a copy of each poset and keeping the given ordering in each copy, while setting x < y for each  $x \in P_i$  and  $y \in P_j$  if i < j. Since each automorphism of P preserves heights (the maximum length of a chain with a given maximum element), it restricts to automorphisms of each  $P_i$ . Thus  $\operatorname{Aut}(P) = \operatorname{Aut}(P_1) \oplus \operatorname{Aut}(P_2) \oplus \ldots \oplus \operatorname{Aut}(P_k) = \mathbb{Z}_n$ . If  $p_i^{r_i} = 3$  and  $p_j^{r_j} = 4$ , instead of  $P_i$  and  $P_j$  we take the poset in Example 3 of 20 = b(3)3 + b(4)4 - 1 points and automorphism group  $\mathbb{Z}_{12}$ .

### 3. Lemmas

Let X be a finite set,  $n \ge 1$  and  $x_0, x_1, \ldots, x_{n-1}$  pairwise different elements of X. The cycle  $\alpha = (x_0, x_1, \dots, x_{n-1})$  is the permutation which maps  $x_i$  to  $x_{i+1}$  (indices considered modulo n) and fixes every other point of X. The number n is the order or length of the cycle, which we denote by  $|\alpha|$ . A cycle of order n is also called an n-cycle. A cycle  $\alpha$ is non-trivial if  $|\alpha| \geq 2$ . The representation  $(x_0, x_1, \ldots, x_{n-1})$  of a non-trivial n-cycle is unique up to cyclic permutation of the n-tuple  $x_0, x_1, \ldots, x_{n-1}$ . The underlying set of a non-trivial cycle  $(x_0, x_1, \ldots, x_{n-1})$  is  $\{x_0, x_1, \ldots, x_{n-1}\}$ . Many times we will identify a non-trivial cycle with its underlying set. Two non-trivial cycles are disjoint if their underlying sets are. Any permutation q of X can be written as a composition  $\alpha_1 \alpha_2 \dots \alpha_k$ of disjoint non-trivial cycles. This representation is unique up to reordering of the cycles. If a cycle  $\alpha$  appears in the factorization of g, we say that  $\alpha$  is contained in g and write  $\alpha \in q$ . The orbits of q, or of the action of the cyclic group  $\langle q \rangle$  on X, are the underlying sets of the cycles in g and the singletons consisting of fixed points. Disjoint non-trivial cycles commute. Thus, if g is a composition  $\alpha_1\alpha_2\ldots\alpha_k$  of disjoint non-trivial cycles and  $m \in \mathbb{Z}$ , then  $g^m = \alpha_1^m \alpha_2^m \dots \alpha_k^m$ . If  $\alpha$  is a cycle of length n and  $m \in \mathbb{Z}$ , the permutation  $\alpha^m$  is a composition of  $(n,m) = \gcd\{n,m\}$  cycles of length  $\frac{n}{(n,m)}$ . In particular,  $\alpha^m$  is a cycle with the same underlying set as  $\alpha$  if n and m are coprime. Moreover, the order of g is the least common multiple of the lengths of its cycles and if a cycle of g has order n, and  $m \in \mathbb{Z}$ , then  $g^m$  fixes every point of the cycle if n|m, and fixes no point of the cycle otherwise.

If g is an automorphism of a poset P, then each orbit of g is discrete, as a < b would imply that  $a < g^k(a)$  for some  $k \in \mathbb{Z}$  and then  $\{g^{nk}(a)\}_{n \geq 0}$  would be an infinite chain. If A and B are two different orbits of g we cannot have an element  $a \in A$  smaller than another  $b \in B$  and at the same time an element  $b' \in B$  smaller than another  $a' \in A$ , as this would imply that  $a < b = g^k(b') < g^k(a')$  for some  $k \in \mathbb{Z}$ , contradicting the fact that A is discrete, or the antisymmetry of the order.

Remark 5. Let P be a poset and let g be an automorphism of P. Let Q be the subposet of points which are not fixed by g. Let  $A_0, A_1, \ldots, A_k$  be the orbits of the automorphism induced by g on Q. If h is an automorphism of Q such that  $h(A_i) = A_i$  for every i, then it extends to an automorphism of P which fixes every element not in Q.

Indeed, if  $x \in P \setminus Q$ ,  $y \in A_i$  and x < y, then  $h(y) \in A_i$ , so there exists  $r \ge 0$  such that  $g^r(y) = h(y)$ . Then  $x = g^r(x) < g^r(y) = h(y)$ . Similarly, if x > y, then x > h(y).

**Lemma 6.** Let  $n \ge 1$  and let  $p^r \ne 2$  be a prime power which exactly divides n. Let P be a poset with Aut(P) cyclic of order n, and let g be a generator of Aut(P). Then g contains at least two cycles of length divisible by  $p^r$ .

*Proof.* Since g has order n, it contains at least one cycle  $\alpha$  of length divisible by  $p^r$ . Assume there is no other cycle of length divisible by  $p^r$ . The automorphism  $g^{\frac{n}{p}}$  fixes then every point not in  $\alpha$ . Let x be an element of  $\alpha$  and let  $\tau$  be the transposition of the underlying set of  $\alpha$  which permutes x and  $g^{\frac{n}{p}}(x) \neq x$ . By Remark 5,  $\tau$  extends to an automorphism h of P which is a transposition. But any power of g either fixes each point in  $\alpha$  or fixes no point of  $\alpha$ . Since the order of  $\alpha$  is at least  $p^r > 2$ ,  $h \notin \langle g \rangle = \operatorname{Aut}(P)$ , a contradiction.  $\square$ 

If a group G acts on a poset P, an automorphism of P is said to be induced by the action if it is in the image of the homomorphism  $G \to \operatorname{Aut}(P)$ .

**Lemma 7.** Let p = 3, 5 or 7. Let P be a poset on which  $\mathbb{Z}_p$  acts with exactly two orbits, both of order p. Then there exists an automorphism of P not induced by the action for which each orbit of the action is invariant.

*Proof.* Let  $g = \alpha \beta \in \text{Aut}(P)$  be the automorphism induced by a generator of  $\mathbb{Z}_p$ , where  $\alpha = (0, 1, \dots, p-1)$  and  $\beta = (0', 1', \dots, (p-1)')$ . If no element of  $\alpha$  is comparable with an element of  $\beta$ , then the transposition (0,1) is an automorphism which is different to  $g^k$  for any  $k \in \mathbb{Z}$ , that is, not induced by the action.

Without loss of generality we can assume then that 0 and 0' are comparable, and moreover, that 0 < 0'. Then no element in  $\beta$  can be smaller than another in  $\alpha$ . Since g is an automorphism, i < i' for every  $0 \le i \le p-1$ . If no other pair of elements are comparable, then (0,1)(0',1') is an automorphism not induced by the action (it has order 2, for example). If i < j' for every  $0 \le i, j \le p-1$ , then (0,1) satisfies the desired property. This completes the proof of the case p=3 by the following argument. The case we did not analyze is when P has exactly 6 edges. In that case, let  $P^c$  be the complement of P, defined as the poset  $P^c$  with the same underlying set and setting i < j' if and only if  $i \ne j'$  in P, while i, j are not comparable and i', j' are not comparable for every  $i \ne j$ . Since P and  $P^c$  are non-discrete, they have the same automorphisms. As  $P^c$  has only 3 edges, there is an automorphism of  $P^c$  not induced by the action, so this is the required automorphism of P.

For p=5 we need to consider the case that P has 10 edges. By the complement argument, this will complete the p=5 case. So, suppose 0 < k' for some  $1 \le k \le 4$  (and then i < (i+k)' for every i, where i+k is considered modulo 5). Note that  $g^k$  is induced by another generator of  $\mathbb{Z}_p$  and it maps i' to (i+k)'. Thus, for each  $0 \le i \le 4$ , i < i' and  $i < g^k(i')$ . Therefore we can assume that k=1. We have then the "symmetry about the axis 03", which maps i to -i and j' to (1-j)' (see Figure 3). This is an automorphism of P which is different to any power of g (it has order 2).

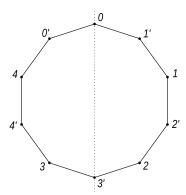


FIGURE 3. The underlying undirected graph of a poset with 10 points and edges i' > i < (i + 1)', and the axis 03'.

For p = 7, if P has 14 edges, then by the argument above we can assume i' > i < (i+1)' for every  $0 \le i \le 6$  and there is then a symmetry about 04'. By the complement argument it only remains to analyze the case that P has exactly 21 edges. Here i < i', (i+k)', (i+l)' for certain  $1 \le k \ne l \le 6$  and again we can assume k = 1 by replacing g by  $g^k$ . Finally, by replacing g by  $g^{-1}$ , it suffices to consider the cases l = 2, 3 and 4 (Figure 4).

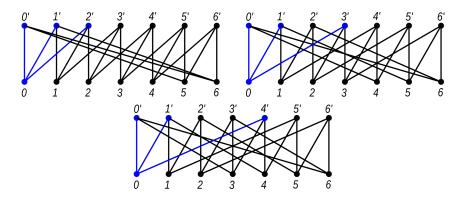


FIGURE 4. Posets with two  $\mathbb{Z}_7$ -regular orbits and  $S = \{0, 1, l\}$  for l = 2, 3, 4.

For l=2 we have the involution that maps i to -i and j' to (2-j)'. For l=3 we have the following automorphism of order 3: (142)(356)(0'3'1')(2'4'5') (see Figure 5). For l=4, there is again the symmetry about 04'.

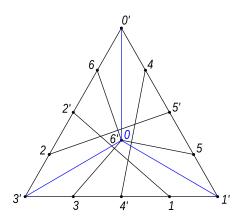


FIGURE 5. The underlying graph of the poset P of 14 points and edges i < i', (i+1)', (i+3)'. An automorphism of order 3 is given by a rotation of angle  $\frac{2\pi}{3}$ .

**Lemma 8.** Let P be a poset on which  $\mathbb{Z}_4$  acts with exactly two orbits of order 4 or exactly three orbits: two of order 4 and one of order 2. Then there exists an automorphism of P not induced by the action for which each orbit of the action is invariant.

Proof. Let g be an automorphism induced by a generator of the action and suppose first that g = (0,1,2,3)(0',1',2',3'). If P is discrete, (0,1) satisfies the required conditions. If P has exactly 4 edges, then as in the proof of Lemma 7 we can assume i < i' for every  $0 \le i \le 3$ , and (0,1)(0',1') works. By the complement argument we can assume P has exactly 8 edges and that it is determined by the relations i' > i < (i + k)' for some  $1 \le k \le 3$ . The case k = 3 reduces to the case k = 1 by replacing g by  $g^3$ . If k = 1, the symmetry (1,3)(0',1')(2',3') about 02 satisfies the required conditions. If k = 2, then (0,2) works.

Suppose then that  $g = \alpha\beta\gamma$  with  $\alpha = (0, 1, 2, 3)$ ,  $\beta = (0', 1', 2', 3')$ ,  $\gamma = (0'', 1'')$ . Let Q be the subposet of points in  $\alpha$  and  $\beta$ . Since  $g^2 = (0, 2)(1, 3)(0', 2')(1', 3')$ , every automorphism of the poset Q which has  $\{0, 2\}, \{1, 3\}, \{0', 2'\}, \{1', 3'\}$  as invariant sets, extends to P by Remark 5. If Q is discrete or if Q has 16 edges, then (0, 2) is an automorphism of Q which extends to P and this extension is not induced by the action. If Q has exactly 4 edges, we may assume i < i' for every i and then (0, 2)(0', 2') extends to an automorphism of P different to any power of g. If Q has exactly 12 edges, the complement argument can be used. Suppose then Q has exactly 8 edges. By relabelling we can assume the relations are (a) i < j' for  $i \equiv j(2)$  or (b) i' > i < (i + 1)' for every i. In case (a), (0, 2) is again an automorphism which has every nontrivial orbit of  $g^2$  as an invariant set. In the rest of the proof we assume we are in case (b).

If the points of  $\gamma$  are not comparable with any point of Q, then the symmetry about 02 which maps i to -i and j' to (1-j)', is an automorphism of Q which extends to P, and this extension satisfies the required conditions.

By considering the opposite order, we can assume a point of  $\gamma$  is comparable with a point of  $\alpha$ . Moreover, by relabelling if needed we can assume 0" is comparable with 0. Suppose first that 0" < 0. Since g is an automorphism, then 0" < 2 and 1" < 1, 3. If  $0" \not< 1$ , then  $0" \not< 3$  and  $1" \not< 0, 2$ . If 0" < 1, then 0" < 3 and 1" < 0, 2. In either case, the symmetry of Q about 02 extends by the identity to an automorphim of P which is not induced by the action, even though this automorphism of Q does not have the orbits of  $g^2$  as invariant sets. Finally suppose 0" > 0. Then 0" > 2 and 1" > 1, 3. We can assume no element in  $\beta$  is smaller than an element in  $\gamma$ , by the previous case and the duality argument. Also, we cannot have an element of  $\gamma$  being smaller than another j' of  $\beta$ , since this would imply that i < j' > i + 2, modulo 4, for certain  $0 \le i \le 3$ , which is absurd. In any case, if  $0" \not> 1$  or if 0" > 1, we have that the symmetry of Q about 02 extends to an automorphism of P.

**Lemma 9.** Let p = 3, 5 or 7. Let P be a poset with cyclic automorphism group of order  $n \ge 1$ , and let  $g \in Aut(P)$  be a generator. Suppose g contains a p-cycle  $\alpha$  and a pk-cycle  $\beta \ne \alpha$  for some  $p \nmid k \ge 1$ . Then it contains a third cycle whose length is divisible by p.

Proof. Suppose  $\beta=(0,1,\ldots,pk-1)$ . Let Q be the subposet of P whose points are those of  $\alpha$  and  $\beta$ . Assume that there is no other cycle in g whose length is divisible by p. In particular  $p \parallel n$ . Since the order of any cycle of g different from  $\alpha$  and  $\beta$  divides  $\frac{n}{p}$ , the automorphism  $g^{\frac{n}{p}}$  fixes every point not in Q. Moreover  $g^{\frac{n}{p}}$  has k+1 orbits of order p, which are the underlying set of  $\alpha$  and  $A_i=\{0\leq j\leq pk-1|\ j\equiv i(k)\}$  for  $0\leq i\leq k-1$ . In particular, by Remark 5 every automorphism of Q for which these sets are invariant extends to an automorphism of P.

Let Q' be the subposet of Q whose points are those of  $\alpha$  and  $A_0$ . Since  $g^k$  induces an automorphism of Q' with two orbits of order p, by Lemma 7 there is an automorphism h of Q' not induced by a power of  $g^k$  for which the underlying set of  $\alpha$  and  $A_0$  are invariant. We extend h to an automorphism  $\overline{h}$  of Q as follows. Let j be a point of  $\beta$ ,  $0 \le j \le kp-1$ . Let  $0 \le i \le k-1$  be such that  $j \in A_i$ . Since  $p \nmid k$ , there exists a unique  $0 \le t \le k-1$  such that k|j+tp, in other words j+tp, considered modulo kp, lies in  $A_0$ . Then  $h(j+tp) \in A_0$ . Define  $\overline{h}(j) = h(j+tp) - tp \in A_i$ . We claim that  $\overline{h}$  is an automorphism of Q. It is clearly bijective. Two different points of  $\beta$  cannot be comparable as they are in the same orbit. Suppose j in  $\beta$  and a in  $\alpha$  are comparable, say a < j. Let  $0 \le t \le k-1$  be such that k|j+tp. Then  $a = g^{tp}(a) < g^{tp}(j) = j+tp$ . Since h is a morphism, h(a) < h(j+tp). Thus

 $\overline{h}(a) = h(a) = g^{-tp}(h(a)) < g^{-tp}(h(j+tp)) = h(j+tp) - tp = \overline{h}(j)$ . Since the underlying set of  $\alpha$  and each  $A_i$  are  $\overline{h}$ -invariant,  $\overline{h}$  extends to an automorphism of P, which must be a power  $g^r$  of g. Since  $g^r$  leaves  $A_0$  invariant, in particular  $r = g^r(0) \in A_0$ , so k|r and h is then induced by a power of  $g^k$ , a contradiction.

**Lemma 10.** Let P be a poset with cyclic automorphism group of order  $n \geq 1$ , and let  $g \in Aut(P)$  be a generator. Suppose that g contains two 4-cycles  $\alpha, \beta$ . Then it contains a third cycle of length divisible by 4 or two more cycles of even length.

*Proof.* The proof is very similar to that of Lemma 9, so we omit details. If  $\alpha$  and  $\beta$  are the unique two cycles of even length in g, then by Lemma 8 there is an automorphism h of the poset of points of these two cycles which is not induced by a power of g, and moreover has the underlying sets of  $\alpha$  and  $\beta$  as invariant sets. Since the non-trivial orbits of  $g^{\frac{n}{4}} \in \operatorname{Aut}(P)$  are the underlying sets of  $\alpha$  and  $\beta$ , h extends to an automorphism of P, a contradiction.

Suppose then there exists a third cycle  $\gamma=(1,2,\ldots,2k)$  in g with k odd, and that there is no other cycle of even length. We define Q to be the subposet whose points are those of  $\alpha$ ,  $\beta$  and  $\gamma$ . Then  $g^{\frac{n}{4}}$  fixes every point not in Q. The other orbits of  $g^{\frac{n}{4}}$  are the underlying sets of  $\alpha$  and  $\beta$ , and  $A_i=\{i,k+i\}$  for  $0\leq i\leq k-1$ . Let Q' be the subposet whose points are those of  $\alpha$ ,  $\beta$  and  $A_0$ . Then  $g^k$  induces an automorphism of Q' and by Lemma 8 there is an automorphism h of Q' which is not induced by a power of  $g^k$ , and for which the underlying sets of  $\alpha$ ,  $\beta$  and  $A_0$  are invariant. We extend it to an automorphism  $\overline{h}$  of Q by defining  $\overline{h}(j)=h(j+4t)-4t$ , where t is such that k|j+4t. Then  $\overline{h}$  is bijective, it is a morphism and leaves each  $A_i$  invariant. It extends to an automorphism of P, say  $g^r$ . Since  $g^r$  leaves  $A_0$  invariant, then k|r, which implies that h is induced by a power of  $g^k$ , a contradiction.

# 4. Weights and the lower bound

Let g be a permutation of order n of a finite set X. Let  $\alpha$  be a cycle in g of length  $l=p_1^{r_1}p_2^{r_2}\dots p_k^{r_k}$ , where the  $p_i$  are distinct prime integers,  $r_i\geq 1$  for every i. For each prime power  $p^r$  we will define a weight  $w_{p^r}(\alpha)\in\mathbb{R}_{\geq 0}$  which depends on  $p^r,l$  and n, in such a way that  $\sum_{p^r}w_{p^r}(\alpha)p^r=l$ , where the sum is taken over all prime powers dividing n.

In particular  $\#X \geq \sum_{p^r || n} (\sum_{\alpha \in g} w_{p^r}(\alpha)) p^r$ . For each  $l \geq 2$  we will assign the weight of every

prime power  $p^r$  in a cycle  $\alpha$  of length  $|\alpha| = l$  according to a series of rules. In every case, if the weight  $w_{p^r}(\alpha)$  is not explicitly defined for some prime power, we assume it is 0.

**Exception 6.** Suppose l = 6. If  $3 \parallel n$  then  $w_3(\alpha) = 2$ . If  $3 \not\parallel n$  and  $2 \parallel n$ , then  $w_2(\alpha) = 3$ . If  $3 \not\parallel n$  and  $2 \not\parallel n$ , then  $w_4(\alpha) = \frac{3}{2}$ .

**Exception 12.** Suppose l = 12. If  $3 \parallel n$  then  $w_3(\alpha) = 4$ . If  $3 \nmid n$ , then  $w_4(\alpha) = 3$ .

**Exception 10-14.** Suppose l=2p for p=5 or 7. If  $2 \parallel n$ ,  $w_2(\alpha)=1$ . Otherwise  $w_4(\alpha)=\frac{1}{2}$ . In any case  $w_p(\alpha)=\frac{2(p-1)}{p}$ .

**General case**. Suppose  $l=p_1^{r_1}p_2^{r_2}\dots p_k^{r_k}\neq 6,12,10,14$ , where the  $p_i$  are different primes and each  $r_i\geq 1$ . For each  $1\leq i\leq k$ , we define  $w_{p_i^{r_i}}(\alpha)=\frac{\prod\limits_{j\neq i}p_j^{r_j}}{k}$ , unless  $p_i^{r_i}=2$  and  $2\not\parallel n$ .

In that case,  $w_2(\alpha) = 0$ , while  $w_4(\alpha) = \frac{\prod\limits_{j \neq i} p_j^{r_j}}{2k}$ . In particular, if  $l = p^r \geq 3$  is a prime power,  $w_{p^r}(\alpha) = 1$ .

Note that, as we required, the sum  $\sum_{p^r|n} w_{p^r}(\alpha)$  over all the prime powers dividing n is the length l of  $\alpha$ . Note also that if  $l = p_1^{r_1} p_2^{r_2} \dots p_k^{r_k}$ , then  $w_{p^r}(\alpha) \neq 0$  only if  $p^r = p_i^{r_i}$  for some  $1 \le i \le k$  or  $p^r = 4$ .

**Theorem 11.** Let  $n \ge 1$ . Let P be a poset with Aut(P) cyclic of order n generated by g. Let  $p^r$  be a prime power which exactly divides n. If  $p^r \neq 2, 4$  then  $\sum_{\alpha \in g} w_{p^r}(\alpha) \geq b(p^r)$ . If  $3 \not\parallel n$  and  $p^r = 2$  or  $p^r = 4$ ,  $\sum_{\alpha \in g} w_{p^r}(\alpha) \geq b(p^r)$  as well. If  $3 \parallel n$  and  $2 \parallel n$ ,  $\sum_{\alpha \in g} (2w_2(\alpha) + 3w_3(\alpha)) \geq 2b(2) + 3b(3) = 11$ . Finally, if  $3 \parallel n$  and  $4 \parallel n$ ,  $\sum_{\alpha \in g} (4w_4(\alpha) + 3w_3(\alpha)) \geq 2b(2) + 3b(3) = 11$ . 4b(4) + 3b(3) - 1 = 20.

*Proof.* If  $p^r \neq 2, 3, 4, 5, 7$ , by Lemma 6, there are at least two cycles of length divisible by  $p^r$ . By hypothesis their lengths are not multiples of  $p^{r+1}$ . But if  $\alpha$  is a cycle of g whose length is a multiple of  $p^r$ , then  $w_{p^r}(\alpha) \geq 1$ . Indeed, the weights in  $\alpha$  are assigned according to the General case. If the length of  $\alpha$  is  $l=p_1^{r_1}p_2^{r_2}\dots p_k^{r_k}$ , we can assume  $p^r=p_1^{r_1}$  and

then 
$$w_{p^r}(\alpha) = \frac{\prod\limits_{j=2}^k p_j^{r_j}}{k} \ge \frac{2^{k-1}}{k} \ge 1$$
. Thus,  $\sum\limits_{\alpha \in g} w_{p^r}(\alpha) \ge 2 = b(p^r)$ .

Suppose now  $p^r = 5$ . If  $\alpha$  is a cycle of g of length l = 5, then  $w_5(\alpha) = 1$ . If l = 10, then  $w_5(\alpha) = \frac{8}{5} \ge \frac{3}{2}$  (Exception 10-14). If l = 5s with  $s = p_2^{r_2} p_3^{r_3} \dots p_k^{r_k} \ge 3$  not divisible by 5, then either k = 2, or  $k \ge 3$ . In the first case  $w_5(\alpha) = \frac{s}{2} \ge \frac{3}{2}$ , and in the second case

$$w_5(\alpha) = \frac{\prod\limits_{j=2}^k p_j^{r_j}}{k} \ge \frac{2^{k-2} \cdot 3}{k} \ge 2 \ge \frac{3}{2}.$$

$$\begin{split} w_5(\alpha) &= \frac{\prod\limits_{j=2}^k p_j^{r_j}}{k} \geq \frac{2^{k-2}.3}{k} \geq 2 \geq \frac{3}{2}. \\ \text{By Lemma 6, there are at least two cycles of length divisible by 5 (and not by 5^2).} \end{split}$$
Suppose first there exactly two such cycles,  $\alpha$  and  $\alpha'$ . None of them can be of length 5 by Lemma 9. Thus  $w_5(\alpha) + w_5(\alpha') \ge 2.\frac{3}{2} = 3 = b(5)$ . Finally, if there are at least three cycles in g of length divisible by 5, then  $\sum_{\alpha \in g} w_5(\alpha) \ge 3 = b(5)$ .

The case  $p^r=7$  is similar to the previous one, with the observation that for length  $l=14, \ w_7(\alpha)=\frac{12}{7}\geq \frac{3}{2}$  (Exception 10-14). So, also in this case  $\sum_{\alpha\in g} w_7(\alpha)\geq 3=b(7)$ .

Let  $p^r = 3$ . If the length of a cycle  $\alpha$  in g is l = 3,  $w_3(\alpha) = 1$ . If l = 6,  $w_3(\alpha) = 2$ (Exception 6). If l=12,  $w_3(\alpha)=4$  (Exception 12). If l=3s with  $s=p_2^{r_2}p_3^{r_3}\dots p_k^{r_k}\geq 5$ , then either k=2, or  $k\geq 3$ . In the first case  $w_3(\alpha)=\frac{s}{2}\geq \frac{5}{2}$ , and in the second case

$$w_3(\alpha) = \frac{\prod\limits_{j=2}^k p_j^{r_j}}{k} \ge \frac{2^{k-2} \cdot 3}{k} \ge 2.$$

By Lemma 6 there are at least two cycles in g of length divisible by 3 (and not by  $3^2$ ). Suppose first there are exactly two such cycles  $\alpha$  and  $\alpha'$ . None of them can have length 3 by Lemma 9. Then  $w_3(\alpha) + w_3(\alpha') \ge 2.2 = 4 \ge 3 = b(3)$ . Finally, if there are at least three cycles in g of length divisible by 3, then  $\sum_{\alpha \in g} w_3(\alpha) \ge 3 = b(3)$ . Note that  $\sum_{\alpha \in g} w_3(\alpha) \ge 4$ unless there are exactly three cycles of length 3 and no other cycle of length divisible by 3.

We analyze now the case that  $3 \not\parallel n$  and  $p^r = 2$  or 4. In the first situation, there is at least one cycle  $\alpha$  of even length l (not divisible by 4). If l = 2,  $w_2(\alpha) = 1$  (General case). If l = 6,  $w_2(\alpha) = 3$  (Exception 6). If l = 10 or l = 14, then  $w_2(\alpha) = 1$  (Exception 10-14).

If l=2s with  $s=p_2^{r_2}p_3^{r_3}\dots p_k^{r_k}\neq 1,3,5,7$  (odd), then  $w_2(\alpha)=\frac{\prod\limits_{j=2}^k p_j^{r_j}}{k}\geq \frac{3^{k-1}}{k}\geq \frac{3}{2}$ . Thus  $\sum\limits_{\alpha\in g}w_2(\alpha)\geq 1=b(2)$ . We consider the second situation,  $p^r=4$ . If  $\alpha$  has length l=4, then  $w_4(\alpha)=1$ . If l=12,  $w_4(\alpha)=3$  (Exception 12). If l=4s with  $s=p_2^{r_2}p_3^{r_3}\dots p_k^{r_k}\geq 5$  (odd), then k=2 or  $k\geq 3$ . For k=2 we have  $w_4(\alpha)=\frac{s}{2}\geq \frac{5}{2}$ . For  $k\geq 3$ ,  $w_4(\alpha)\geq \frac{3^{k-1}}{k}\geq 3$ . By Lemma 6, g contains at least two cycles of lengths divisible by 4 (and not by 8). Suppose first there are exactly two such cycles,  $\alpha$  and  $\alpha'$ , of lengths l,l'. If l=l'=4, then by Lemma 10, there exists a third and a fourth cycle  $\beta,\beta'$  of lengths 2m and 2m' for some odd m,m'. The weights  $w_4(\beta)$  that we obtain for each m are the halves of the weights that we obtained for 2 in cycles of the same length when  $2\parallel n$ . Namely, if  $m=1, w_4(\beta)=\frac{1}{2}$  (General case); if  $m=3, w_4(\beta)=\frac{3}{2}$  (Exception 6); if  $m=5,7, w_4(\beta)=\frac{1}{2}$  (Exception 10-14); if  $m=p_2^{r_2}p_3^{r_3}\dots p_k^{r_k}\neq 1,3,5,7$  then  $w_4(\beta)\geq \frac{3^{k-1}}{2k}\geq \frac{3}{4}$  (General case).

The same happens with  $\beta'$ . Thus  $w_4(\alpha) + w_4(\alpha') + w_4(\beta) + w_4(\beta') \ge 1 + 1 + \frac{1}{2} + \frac{1}{2} = 3 = b(4)$ . If instead l = 4 and l' = 12, then  $w_4(\alpha) + w_4(\alpha') = 1 + 3 = 4 > 3$ . If l = 4 and l' = 4s for some odd  $s \ge 5$ , then  $w_4(\alpha) + w_4(\alpha') \ge 1 + \frac{5}{2} > 3$ . If both l and l' are greater than 4, then  $w_4(\alpha) + w_4(\alpha') \ge \frac{5}{2} + \frac{5}{2} > 3$ . Finally, if there are at least three cycles of length divisible by 4, then  $\sum_{\alpha \in g} w_4(\alpha) \ge 3$ . Thus, in any case  $\sum_{\alpha \in g} w_4(\alpha) \ge 3 = b(4)$ .

It only remains to analyze the case  $3 \parallel n$  and  $2 \parallel n$  and the case  $3 \parallel n$  and  $4 \parallel n$ . If  $3 \parallel n$  and  $2 \parallel n$ , recall that we have already proved that  $\sum_{\alpha \in g} w_3(\alpha) \ge 4$  or there are exactly three cycles of length 3 and no other cycle of length divisible by 3. In the first case  $\sum_{\alpha \in g} (2w_2(\alpha) + 3w_3(\alpha)) \ge \sum_{\alpha \in g} 3w_3(\alpha) \ge 12$ . In the second case, there exists a cycle  $\beta$  in g of even length  $m \ne 6$ , so  $w_2(\beta) \ge 1$ . Thus  $\sum_{\alpha \in g} (2w_2(\alpha) + 3w_3(\alpha)) \ge 2.1 + 3.3 = 11$ .

The last case is  $3 \parallel n$  and  $4 \parallel n$ . Note that if there are no cycles of length 6 nor 12 in g, then the computation  $\sum_{\alpha \in g} w_4(\alpha) \geq 3$  remains valid as Exceptions 6 and 12 do not occur. Thus  $\sum_{\alpha \in g} (3w_3(\alpha) + 4w_4(\alpha)) \geq 3.3 + 4.3 = 21 > 20$ . If there are at least two 12-cycles, then  $\sum_{\alpha \in g} (3w_3(\alpha) + 4w_4(\alpha)) \geq 2.3.4 = 24 > 20$ . If there is no 12-cycle in g and  $\sum_{\alpha \in g} w_4(\alpha) < 3$ , then we must be in the case that there is a 6-cycle. This already implies  $\sum_{\alpha \in g} w_3(\alpha) \geq 4$ , while the existence of two cycles of length divisible by 4 implies  $\sum_{\alpha \in g} w_4(\alpha) \geq 2$ . Thus  $\sum_{\alpha \in g} (3w_3(\alpha) + 4w_4(\alpha)) \geq 3.4 + 4.2 = 20$ .

Thus we may assume g has a unique 12-cycle. By Lemma 6 there is another cycle of length divisible by 4, so  $\sum_{\alpha \in g} w_4(\alpha) \ge 1$ . On the other hand,  $\sum_{\alpha \in g} w_3(\alpha) \ge 4 + 2 = 6$ , as the weight of 3 in a 12-cycle is 4 and by Lemmas 6 and 9 there are either two more cycles of lengths divisible by 3 or just one, but of length not 3. Thus  $\sum_{\alpha \in g} (3w_3(\alpha) + 4w_4(\alpha)) \ge 3.6 + 4.1 = 22 > 20$ .

Corollary 12. Let  $n = p_1^{r_1} p_2^{r_2} \dots p_k^{r_k}$ , where the  $p_i$  are different primes and  $r_i \geq 1$  for every i. Then the minimum number  $\beta(\mathbb{Z}_n)$  of points in a poset with cyclic automorphism group of order n is  $\sum_{i=1}^k b(p_i^{r_i}) p_i^{r_i} - 1$  if  $3 \parallel n$  and  $4 \parallel n$ , and  $\sum_{i=1}^k b(p_i^{r_i}) p_i^{r_i}$  otherwise.

Proof. If P is a poset with  $\operatorname{Aut}(P)\simeq \mathbb{Z}_n$  generated by g, then the number of points in P is at least  $\sum_{\alpha\in g}|\alpha|=\sum_{\alpha\in g}\sum_{p^r|n}w_{p^r}(\alpha)p^r\geq \sum_{i=1}^k(\sum_{\alpha\in g}w_{p_i^{r_i}}(\alpha))p_i^{r_i}$ . If both 3 and 4 exactly divide n, by Theorem 11 this is  $\sum_{p_i^{r_i}\neq 3,4}(\sum_{\alpha\in g}w_{p_i^{r_i}}(\alpha))p_i^{r_i}+\sum_{\alpha\in g}(3w_3(\alpha)+4w_4(\alpha))\geq \sum_{p_i^{r_i}\neq 3,4}b(p_i^{r_i})p_i^{r_i}+\sum_{\alpha\in g}(3w_3(\alpha)+4w_4(\alpha))\geq \sum_{\alpha\in g}(3w_3(\alpha)+4w_4(\alpha))\geq \sum_{\alpha\in g}(3w_3(\alpha)+4w_4(\alpha))\geq \sum_{\alpha\in g}(3w_3(\alpha)+4w_4(\alpha)+2w_4(\alpha$ 

 $3b(3) + 4b(4) - 1 = \sum_{i=1}^{k} b(p_i^{r_i}) p_i^{r_i} - 1$ . Otherwise, the bound is one more than this number. The bound is attained by Theorem 4.

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