On the modular automorphism of twisted Calabi–Yau algebras

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# Definition

An algebra A has Van den Bergh duality of dimension d if

- it is homologically smooth,
- ▶ for all  $p \neq d$  we have  $\operatorname{Ext}_{A^e}^p(A, A \otimes A) = 0$ , and
- the A-bimodule  $U = \operatorname{Ext}_{A^e}^p(A, A \otimes A)$  is invertible.

In that case the bimodule U is the dualizing bimodule of A.

If A has Van den Bergh duality of dimension d with dualizing bimodule U, then for all A-bimodules M there is a natural isomorphism

$$H^{\bullet}(A, M) \cong H_{d-\bullet}(A, U \otimes_A M).$$

M. van den Bergh, A relation between Hochschild homology and cohomology for Gorenstein rings, Proc. Amer. Math. Soc. **126** (1998), no. 5, 1345–1348.
R. Bieri and B. Eckmann, Groups with homological duality generalizing Poincaré duality, Invent. Math. **20** (1973), 103–124.

# Definition

An algebra A is Calabi-Yau of dimension d if

- ▶ it has Van den Bergh duality in dimension *d* and
- the dualizing bimodule U of A is trivial: there is an isomorphism of A-bimodules

 $U \cong A$ .

V. Ginzburg, Calabi-Yau algebras, arXiv:math/0612139

# A compact complex manifold M of dimension n is Calabi–Yau if the canonical bundle $\Omega^n(M)$ of holomorphic *n*-forms is trivial, so that there exist a holomorphic volume form.

Van den Bergh's Duality Theorem becomes:

### Theorem

If A is Calabi–Yau of dimension d, then for all A-bimodules M there is a natural isomorphism

 $H^{\bullet}(A, M) \cong H_{d-\bullet}(A, M).$ 

This means that the Calabi–Yau condition is a «higher version» of the Frobenius condition.

- Polynomial algebras  $k[x_1, \ldots, x_d]$
- Coordinate rings of affine Calabi–Yau varieties (V. Ginzburg)
- ► If X is a connected and smooth projective variety and E is a tilting generator of D<sup>b</sup>(Coh X), then

 $\operatorname{End}_{D^b(\operatorname{Coh} X)}(\mathcal{E})$  is Calabi–Yau  $\iff X$  is Calabi–Yau.

(V. Ginzburg)

- Enveloping algebras of semisimple Lie algebras or, more generally, unimodular Lie algebras.
- Algebras of regular differential operators on affine Calabi–Yau varieties.

- If M is a connected compact orientable d manifold which is a K(π, 1), then the group algebra C[π₁(M)] is Calabi–Yau of dimension d. (M. Kontsevich)
- ► If M is an orientable compact d-manifold and C\*(M) is the differential graded algebra of k-valued singular cochains, then C\*(M) is Calabi-Yau of dimension d. (P. Jørgensen)

We know a simple description of all graded Calabi-Yau algebras of dimension 3 in terms of Jacobian algebras associated to a quiver and super-potentials. (Raf Bockland)

$$\frac{kQ}{(\partial_{\alpha}\Phi,\partial_{\beta}\Phi,\dots)}$$

 A similar description applies to *complete* Calabi-Yau algebras of arbitrary dimension. (M. Van den Bergh)

The two results were conjectured by Ginzburg.

 Recognizing which Jacobian algebras are Calabi-Yau is difficult, but we have good «numerical» criteria when the algebra is (N-)Koszul. (R. Berger, A. Solotar) The (quadratic) Sklyanin algebra A(a, b, c) freely generated by x, y and z subject to the relations

$$ayz + bzy + cx2 = 0,$$
  

$$azx + bxz + cy2 = 0,$$
  

$$axy + byx + cz2 = 0,$$

is Calabi–Yau for all choices of the parameters  $(a, b, c) \in k^3$ except 12 involving cubic roots of unity.

It is the Jacobian algebra coming from the super-potential

$$\Phi = axyz + bxzy + \frac{c}{3}(x^3 + y^2 + z^3).$$

- Quantum enveloping algebras  $U_q(\mathfrak{g})$ . (Sophie Chemla)
- The Jacobian algebras attached to ideal triangulations of Riemann surfaces with boundary and punctures. (Fomin, Shapiro, Thurston, Derksen, Weyman, Zelevinski, ...)
- Finally, the class of Calabi–Yau algebras is closed under polinomial extessions, Morita equivalence, crossed-products with appropriate groups or Hopf algebras, by certain special types of localizations, ...

# Definition

An algebra A is twisted Calabi–Yau of dimension d if

- ▶ it has Van den Bergh duality of dimension *d* and
- the dualizing module U is isomorphic as a left and as a right A-module to A,

$$_{A}U\cong _{A}A, \qquad U_{A}\cong A_{A}.$$

#### Lemma

If U is an A-bimodule which is isomorphic to A as a left and as a right A-module, then there exists an algebra automorphism  $\sigma: A \to A$  such that

 $U\cong A_{\sigma}.$ 

The automorphism  $\sigma$  is determined by U up to an inner automorphism of A.

In other words, what we have is a class in  $Out(A) = \frac{Aut(A)}{Inn(A)}$ .

# Definition

An algebra A is twisted Calabi–Yau of dimension d if

- ▶ it has Van den Bergh duality of dimension *d* and
- ► there is an automorphism σ : A → A such that the dualizing module U is isomorphic as an A-bimodule to A<sub>σ</sub>.

$$U\cong A_{\sigma}$$

 $\sigma$  is the modular automorphism of A.

- Calabi–Yau algebras are twisted Calabi–Yau, with modular automorphism the identity.
- If a filtered algebra A is such that gr(A) is twisted Calabi-Yau, then A itself is Calabi-Yau. (M. Van den Bergh)
- Enveloping algebras of all Lie algebras (and not only unimodular ones) with modular automorphism related to the modular character.
- A graded Koszul algebra A of global dimension d such that A<sup>!</sup> is Frobenius is Calabi–Yau. (M. Vam den Bergh)

- An Ore extension A[X; σ, δ] of a twisted Calabi–Yau algebra is twisted Calabi–Yau. (L. Liu, S. Wang, Q. Wu)
- Quantum coordinate algebras of affine spaces, euclidean spaces, symplectic spaces. Quantum nilpontent spaces.
- ▶ Quantum enveloping algebras U<sub>q</sub>(g<sup>+</sup>) of positive parts of semisimple Lie algebras.
- Quantum coordinate algebras of Grassmanians.

- Enveloping algebras of Lie-Rinehart pairs (S, L) with S Calabi-Yau. (Th. Lambre, P. Le Meur) and their PBW-deformations.
- Algebras of differential operators tangent to free hyperplane arrangements (F. Kordon)
- ► Algebras of *q*-difference operators.
- Azumaya algebras with Calabi–Yau center.
- ► Finally, the class is closed under «good» deformations.

A twisted Calabi–Yau algebra A comes ex nihilo with an automorphism  $\sigma : A \rightarrow A$ .

## Theme

The automorphism  $\sigma$  is generally *not* the identity, ...

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## Theme

The automorphism  $\sigma$  is generally *not* the identity, ... but it wants to be.

What we mean here is that there are natural actions of  $\sigma$  on various objects attached to A and that action tends to be trivial.

If A is twisted Calabi–Yau, then the modular automorphism acts trivially on the center Z(A).

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Corollary

A twisted Calabi-Yau algebra which is commutative is Calabi-Yau.

The class of the modular automorphism  $\sigma : A \rightarrow A$  of a twisted Calabi–Yau algebra is central in the outer automorphism group Out(A).

In other words, if  $\alpha \in Aut(A)$ , then there exists a unit  $u \in such$  that

$$\sigma \alpha(a) = u \alpha \sigma(a) u^{-1}$$
, for all  $a \in A$ .

This is an existence theorem.

If all units of A are central, then  $\sigma$  is central in Aut(A). This is a very strong condition!

# A baby example

$$A = k_q[x, y]$$

$$yx = qxy$$

This is twisted Calabi–Yau with modular automorphism  $\sigma$  such that

$$\sigma(x) = qx,$$
  $\sigma(y) = q^{-1}.$   
Since  $\{x^i y^j : i, j \ge 0\}$  is a basis of  $A$ , the spectrum of  $\sigma$  is

$$\operatorname{spec}(\sigma) = \{q^r : r \in \mathbb{Z}\}$$

If q is not a toor of unity, then the eigenspaces are

$$A(q^r) = \begin{cases} x^r k[xy] & \text{if } r \ge 0; \\ k[xy]y^{-r} & \text{if } r < 0. \end{cases}$$

Now let  $\alpha \in Aut(A)$  and suppose that q is not a root of unity. Since the units of A are central,  $\alpha$  and  $\sigma$  commute,  $\alpha$  preserves the eigenspaces of  $\sigma$  and, in particular,

$$A(q) = xk[xy] \qquad A(1) = k[xy] \qquad A(q^{-1}) = k[xy]y$$

It follows that

 $\alpha(x) \in kx$   $\alpha(y) \in ky.$ 

# Corollary

- If  $k_q[x, y] \cong k_{q'}[x, y]$ , then (q) = (q').
- If q is not a root of unity, then neither is q' and  $q' \in \{q, q^{-1}\}$ .

## This is a theorem of J. Alev and F. Dumas.

J. Alev and F. Dumas, Sur le corps des fractions de certaines algèbres quantiques, J. Algebra **170** (1994), no. 1, 229–265.

# Proposition

Let A be twisted Calabi–Yau and suppose that all units of A are central and that the ground field is of characteristic zero.

- If x ∈ A is locally ad-nilpotent, then there exists a central element z ∈ Z(A) such that σ(x) = x + z.
- If the center Z(A) is a domain and A is a finitely generated module over Z(A), then σ fixes all regular locally ad-nilpotent elements of A.

If  $\delta : A \to A$  is a derivation and  $\alpha \in Aut(A)$ , then  $\alpha \delta \alpha^{-1} : A \to A$  is also a derivation. The derivation Der(A)

This produces an action of Aut(A) on  $HH^1(A) = \frac{\text{Der}(A)}{\text{InnDer}(A)}$ .

## Theorem

If A is twisted Calabi–Yau with modular automorphism  $\sigma$ , then  $\sigma$  acts trivially on HH<sup>1</sup>(A).

This is again an existence theorem.

# Conjecture

The modular automorphism of a twisted Calabi–Yau algebra acts trivially on the Hochschild cohomology of A.

# Proposition

Let A be a twisted Calabi–Yau algebra and let  $\alpha \in Aut(A)$ .

- There is a natural action of  $\sigma$  on  $H^{\bullet}(A, A_{\alpha})$ .
- The action is trivial on  $H^0(A, A_\alpha)$  and on  $H^1(A, A_\alpha)$ .

## Proposition

Let A be a twisted Calabi–Yau algebra. If  $\theta$  is a regular normal element, then there exists a unit  $u \in A$  such that

 $\sigma(\theta) = u\theta.$ 

Let A be a twisted Calabi–Yau algebra with modular automorphism  $\sigma$  and let  $f : A \rightarrow B$  be an epimorphism of algebras such that B is flat on both sides.

If  $\sigma$  extends to an automorphism  $\tilde{\sigma}$  of *B*, then *B* is twisted Calabi–Yau with modular automorphism  $\tilde{\sigma}$ .

M. Farinati, Hochschild duality, localization, and smash products, J. Algebra **284** (2005), no. 1, 415–434.

C. Năstăsescu and N. Popescu, On the localization ring of a ring, J. Algebra  ${\bf 15}$  (1970), 41–56.

Let A be a twisted Calabi–Yau algebra. If  $S \subseteq A$  is a multiplicatively closed subset of normal zero divisors, then the localization  $A_S$  is twisted Calabi–Yau of the same dimension as A.

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## Conjecture

The same conclusion holds if S is a general Ore set.