PACKAGE FOR ELIMINATION THEORY IN MACAULAY2

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ABSTRACT. We present implementations in the computer systems Macaulay2 (cf. [GS]) for computing determinant of free complexes and resultant matrices.

1. INTRODUCTION

The aim of this paper is to give an overview of the content of the *Macaulay2* package "Resultants.m2" for elimination theory, emphasizing universal formulas, in particular, resultant computations.

The package contains an implementation for computing determinant of free graded complexes, called detComplex, with several derived methods: listDetComplex, mapsComplex and minorsComplex. This provides a method for producing universal formulas for any family of schemes, just by combining the resolution M2 method with detComplex. In Section 2 determinants of free resolutions are treated, as well as a few examples. We recommend to see [Dem84, Jou95, GKZ94, Bus06] for more details on determinants of complexes in elimination theory.

The package also provides methods for computing matrices and formulas for different resultants applicable on different families of polynomials, such as the Macaulay resultant (eliminationMatrix with the strategy Macaulay, or the function macaulayFormula) for generic homogeneous polynomials; residual resultant (eliminationMatrix with the strategy ciResidual or CM2Residual) for generic polynomials having a non empty base locus scheme; determinantal resultant (eliminationMatrix with the strategy determinantal) for generic polynomial matrices of a given generic rank. Those resultants and their implementation are reviewed in Section 3, and for the theory behind the reader can refer to [Jou91, Cha93, GKZ94, Jou95, Jou97, CLO98, BEM01, Bus01b, Bus06, Bus04].

The goal of this package is to provide universal formulas for elimination. The main advantage of this approach consists in the fact that we can provide formulas for any family of polynomials just by taking determinant to a free resolution. A direct consequence of a universal formula is that it is preserved by base change, this is, in particular, it commutes with specialization. A deep study of universal formulas for the image of a map of schemes can be seen in [EH00, Chapter V].

2. Determinant of a complex

Here we recall what the determinant of a complex is and how it provides a constructive method to produce elimination formulas. Assume A is an integer domain (which in general is a polynomial ring over \mathbb{Z} or a field), let F_{\bullet} be a finite complex of length $n \geq 1$ of free A-modules,

$$F_{\bullet}: 0 \longrightarrow F_n \xrightarrow{\varphi_n} F_{n-1} \xrightarrow{\varphi_{n-1}} \cdots \longrightarrow F_1 \xrightarrow{\varphi_1} F_0 \longrightarrow 0,$$

such that $\chi(F_{\bullet}) = \sum_{i} (-1)^{i} r_{i} = 0$, where $F_{i} \cong A^{r_{i}}$.

Since $\chi(F_{\bullet}) = 0$, the free complex can be split in the following way:

For all i = 0, ..., n, F_i splits as $F_i = F_i^{(0)} \oplus F_i^{(1)}$, with $F_i^{(0)}$ and $F_i^{(1)}$ both free A-modules of rank $\sum_{j=0}^{n-i-1} (-1)^j r_{i+1+j}$ and $\sum_{j=0}^{n-i} (-1)^j r_{i+j}$ respectively, in such a way that the map $\varphi_i : F_i^{(0)} \oplus F_i^{(1)} \to F_{i-1}^{(0)} \oplus F_{i-1}^{(1)}$ can be written as

$$\varphi_i = \left(\begin{array}{cc} a_i & c_i \\ b_i & d_i \end{array}\right),$$

with $\det(c_i) \neq 0$.

Definition 2.1. The determinant of the complex F_{\bullet} is defined as

$$\det(F_{\bullet}) := \prod_{i=0}^{n} \det(c_i)^{(-1)^{i-1}}.$$

Theorem 2.2. Let F_{\bullet} be a complex of finitely generated free A-modules, admitting a decomposition as before, where $c_i : F_i^{(1)} \to F_{i-1}^{(0)}$ is injective. Then, $H_i(F_{\bullet})$ is A-torsion for all *i*, and

$$\sum_{i} (-1)^{i} \operatorname{div}(H_{i}(F_{\bullet})) = [\operatorname{det}(F_{\bullet})]$$

For the proof of Theorem 2.2 refer to [Cha93] or to [Dem84].

Corollary 2.3. If F_{\bullet} is a free complex of an A-torsion module such that $\operatorname{codim}_A(H_i(F_{\bullet})) > 1$ for $i \geq 1$), then

$$\operatorname{div}(H_0(F_{\bullet})) = \operatorname{div}(\operatorname{coker}(\varphi_1))) = [\operatorname{det}(F_{\bullet})].$$

With this tool, (universal) elimination formulas are obtained as follows: starting from a polynomial system and some knowledge of its geometry (for instance the existence or the absence of a base locus), one determines a complex which is generically (in terms of the parameters of the system, that is to say in terms of the indeterminates of the system that will not be eliminated) acyclic. Next, one takes a graded part of this complex with respect to the variables to be eliminated and beyond a certain threshold (usually expressed as a certain regularity index); the determinant of this complex then produces an elimination formula. In the following section, we provide particular examples of this approach that are well-known: resultant matrices.

3. Resultants

3.1. The Macaulay resultant. Introduced by F.S. Macaulay in [Mac02], corresponds to the direct generalization of the well-known Sylvester resultant of two bivariate homogeneous polynomials. For all i = 0, ..., n suppose given a homogeneous polynomial of degree $d_i \ge 1$ in the variables $\mathbf{x} = (x_0, ..., x_n)$,

$$f_i(\mathbf{x}) = \sum_{|\alpha| = d_i} \mathbf{c}_{i,\alpha} \mathbf{x}^{\alpha},$$

where α is a n-uple of non negative integers $(\alpha_0, \ldots, \alpha_n)$, \mathbf{x}^{α} denotes the monomial $x_0^{\alpha_0} \ldots x_n^{\alpha_n}$ and $\mathbf{c}_{i,\alpha}$ denotes the coefficients which are in a field \mathbb{K} . Considering all the coefficients $\mathbf{c}_{i,\alpha}$ as indeterminates, there exists an irreducible homogeneous polynomial in the ring $A := \mathbb{K}[\mathbf{c}_{i,\alpha} : |\alpha| = d_i, i = 0, \ldots, n]$ which is homogeneous for all $i = 0, \ldots, n$ in the set of variables $\{\mathbf{c}_{i,\alpha}, |\alpha| = d_i\}$ of degree $\frac{d_0d_1\ldots d_n}{d_i}$. This polynomial is the so-called Macaulay resultant and we denote it by $\operatorname{Res}(f_0, \ldots, f_n)$ and satisfies that

(1) if A' is a ring, $\phi: A \to A'$ is a map ring and also by ϕ the induced map $A[\mathbf{x}] \to A'[\mathbf{x}]$, then

$$\phi \operatorname{Res}(f_0,\ldots,f_n) = \operatorname{Res}(\phi f_0,\ldots,\phi f_n);$$

(2) for any given polynomials f_0, \ldots, f_n with coefficients $\mathbf{c}_{i,\alpha}$ in \mathbb{K} ,

$$\operatorname{Res}(f_0,\ldots,f_n) = 0 \Leftrightarrow \exists x \in \mathbb{P}^n : f_0(x) = \cdots = f_n(x) = 0.$$

Example 3.1. Consider the polynomials $f1 = a*x^2+b*x*y+c*y^2$ and f2 = 2*a*x+b*y in the ring R=QQ[a,b,c,x,y]. Denoting M = matrix{{f1,f2}}, the Macaulay resultant $\operatorname{Res}_{x,y}(f_1, f_2)$ can be computed as

>det(eliminationMatrix({x,y},M,Strategy=>Macaulay))

(notice that the strategy is not necessary in this case). One can verify that the matrix

>eliminationMatrix({x,y},M)

coincides with the Sylvester matrix

>Syl=matrix{{a, 2*a, 0},{b, b, 2*a},{c, 0, b}}

Now, since f1 and f2 form a regular sequence in R=QQ[a,b,c,x,y], and $M = matrix{{f1,f2}}$, the Koszul complex koszul M is a free resolution of $R/(f_1, f_2)$.

Thus, we get that

>eliminationMatrix({x,y},M)

coincides with the right-most map of the Koszul complex koszul M in degree 2 on the variables $\{x, y\}$. The list of maps of koszul M in degree 2 on the variables $\{x, y\}$ can be computed as

>mapsComplex(2,{x,y}, koszul M)

and right-most means 0-th position in the list, that is to say :

>mapsComplex(2,{x,y},koszul M)_0

3.2. Residual resultants. The residual resultant is an extension of the classical resultant theory [BEM00, BEM01, Bus01a, Bus01b]. Consider a polynomial system depending on parameters. In many situations coming from practical problems, polynomial systems depending on parameters have common zeros which do not depend on these parameters, and which we are not interested in. We are going to present here how to compute a resultant in such a situation, which is called a residual resultant, under suitable assumptions.

Let g_1, \ldots, g_m be *m* homogeneous polynomials of degree $k_1 \ge \ldots \ge k_m \ge 1$ in $S = \mathbb{K}[x_0, \ldots, x_n]$. Being given n + 1 integers $d_0 \ge \ldots \ge d_n \ge k_1$ such that $d_m \ge k_m + 1$, there exists a resultant (called a residual resultant) associated to systems of the form:

(1)
$$\mathbf{f_c} := \begin{cases} f_0(\mathbf{x}) &= \sum_{i=1}^m h_{i,0}(\mathbf{x}) g_i(\mathbf{x}) \\ \vdots \\ f_n(\mathbf{x}) &= \sum_{i=1}^m h_{i,n}(\mathbf{x}) g_i(\mathbf{x}) \end{cases}$$

where $h_{i,j}(\mathbf{x}) = \sum_{|\alpha|=d_j-k_i} c_{\alpha}^{i,j} \mathbf{x}^{\alpha}$ is a homogeneous polynomial of degree $d_j - k_i$. It is an irreducible homogeneous polynomial in the ring of coefficients $\mathbb{K}[c_{\alpha}^{i,j}]$. Being given some specialized polynomials f_0, \ldots, f_n , we have the property

$$\exists x \notin V(g_1, \dots, g_m) : f_0(x) = \dots = f_n(x) = 0 \Rightarrow \operatorname{Res}(f_0, \dots, f_n) = 0.$$

Notice that the polynomials g_1, \ldots, g_m describe exactly the variety of base points we are not interested in. Notice also that this last property can be stated as an equivalence on what are called blow-up varieties, but we are not going to describe them here, we refer to [BEM01, Bus01b] for more details.

We now show how it is possible to compute these residual resultants.

3.2.1. General residual resultants. Whatever the base points are, that is to say whatever the polynomials g_1, \ldots, g_m are, it is always possible to compute a non zero multiple of the residual resultant using Bezoutian matrices (see [BEM00, Bus01b]).

The Bezoutian Θ_{f_0,\ldots,f_n} of $f_0,\ldots,f_n \in S$ is the element of $S \otimes_{\mathbb{K}} S$ defined by

$$\Theta_{f_0,\dots,f_n}(\mathbf{t},\mathbf{z}) := \begin{vmatrix} f_0(\mathbf{t}) & \theta_1(f_0)(\mathbf{t},\mathbf{z}) & \cdots & \theta_n(f_0)(\mathbf{t},\mathbf{z}) \\ \vdots & \vdots & & \vdots \\ f_n(\mathbf{t}) & \theta_1(f_n)(\mathbf{t},\mathbf{z}) & \cdots & \theta_n(f_n)(\mathbf{t},\mathbf{z}) \end{vmatrix},$$

where

$$\theta_i(f_j)(\mathbf{t}, \mathbf{z}) := \frac{f_j(z_1, \dots, z_{i-1}, t_i, \dots, t_n) - f_j(z_1, \dots, z_i, t_{i+1}, \dots, t_n)}{t_i - z_i}$$

Let $\Theta_{f_0,\ldots,f_n}(\mathbf{t},\mathbf{z}) = \sum \theta_{\alpha\beta} \mathbf{t}^{\alpha} \mathbf{z}^{\beta}, \theta_{\alpha,\beta} \in \mathbb{K}$. The Bezoutian matrix of f_0,\ldots,f_n is defined as the matrix $B_{f_0,\ldots,f_n} = (\theta_{\alpha\beta})_{\alpha,\beta}$. And we have:

Theorem 3.2. Any maximal minor of the Bezoutian matrix $B_{f_0,...,f_n}$ is divisible by the resultant $\operatorname{Res}(f_0,\ldots,f_n)$.

Notice that we do not need to know the polynomials g_1, \ldots, g_m to perform the computation of the Bezoutian matrix. In fact the only thing we have to check is that the polynomials f_0, \ldots, f_m separate points and tangent vectors on an open subset of \mathbb{P}^n (see [BEM00] for more details on this point). Example. Consider the three following polynomials ([BEM00], example 1.5):

$$\begin{cases} f_0 = c_{0,0} + c_{0,1}t_1 + c_{0,2}t_2 + c_{0,3}(t_1^2 + t_2^2) \\ f_1 = c_{1,0} + c_{1,1}t_1 + c_{1,2}t_2 + c_{1,3}(t_1^2 + t_2^2) + c_{1,4}(t_1^2 + t_2^2)^2 \\ f_2 = c_{2,0} + c_{2,1}t_1 + c_{2,2}t_2 + c_{2,3}(t_1^2 + t_2^2) + c_{2,4}(t_1^2 + t_2^2)^2 \end{cases}$$

Using the method **bezoutianMatrix** we can compute the Bezoutian matrix, which is of size 12×12 and of rank 10. The determinant of a maximal minor yields a huge polynomial in $(c_{i,j})$ containing 207805 monomials. It can be factorized as $q_1q_2(q_3)^2\rho$, with

The polynomials q_3 and ρ contain respectively 20 and 2495 monomials. As for generic equations f_0, f_1, f_2 , the number of points in the varieties $\mathcal{Z}(f_0, f_1), \mathcal{Z}(f_0, f_2), \mathcal{Z}(f_1, f_2)$ is 4 (see for instance [Mou96]), Res (f_0, f_1, f_2) is homogeneous of degree 4 in the coefficients of each f_i . Thus, it corresponds to the last factor ρ .

3.2.2. Residual resultants of a complete intersection. We suppose here that the ideal $G = (g_1, \ldots, g_m)$ is a complete intersection, that is defines a variety of codimension m in \mathbb{P}^n . In this particular case we know how to compute exactly the residual resultant and also its degree. Indeed, its degree in the coefficients $(c^{i,j}_{\alpha})$ of each f_i is given by

$$N_j = \frac{P_{m_j}}{P_1}(k_1, \dots, k_m)$$

where, $m_j(T) = \sigma_n(\mathbf{d}) + \sum_{l=m}^n \sigma_{n-l}(\mathbf{d}) T^l$, with the notations $\mathbf{d} = (d_0, \dots, d_{j-1}, d_{j+1}, \dots, d_n)$, $\sigma_0(\mathbf{d}) = (-1)^n$, $\sigma_1(\mathbf{d}) = (-1)^{n-1} \sum_{l \neq j} d_l$, $\sigma_2(\mathbf{d}) = (-1)^{n-2} \sum_{j_1 \neq j, j_2 \neq j, j_1 < j_2} d_{j_1} d_{j_2}$, ..., $\sigma_n(\mathbf{d}) = \prod_{l \neq j} d_l$, and

$$P_{m_j}(y_1, \dots, y_m) = \det \begin{pmatrix} m_j(y_1) & \cdots & m_j(y_m) \\ y_1 & \cdots & y_m \\ \vdots & & \vdots \\ y_1^{m-1} & \cdots & y_m^{m-1} \end{pmatrix}.$$

Example 3.3. As an example we suppose that n = 3 and m = 2. Then we can obtain the critical degree and the multi-degree of the residual resultant :

>R=ZZ[d_0..d_4,k_1,k_2]:
>ciResDeg({d_0,d_1,d_2,d_3},{k_1,k_2})

We denote by H the matrix $(h_{i,j})_{1 \le i \le m, 0 \le j \le n}$ and by $\Delta_{i_1...i_m}$ the $m \times m$ minors of H corresponding to the columns i_1, \ldots, i_m . We also define the homogeneous ideal $F = (f_0, \ldots, f_n) \subset S$.

Theorem 3.4. For any $\nu \geq \sum_{i=0}^{n} d_i - n - (n-m+2)k_m$, the morphism

$$\partial_{\nu} : \left(\bigoplus_{0 \le i_1 < \ldots < i_m \le n} S_{\nu - d_{i_1} - \cdots - d_{i_m} + \sum_{i=1}^m k_i} e_{i_1} \land \cdots \land e_{i_m} \right) \bigoplus \left(\bigoplus_{i=0}^{i=n} S_{\nu - d_i} e_i^{'} \right) \longrightarrow S_{\nu}$$
$$e_{i_1} \land \cdots \land e_{i_m} \longrightarrow \Delta_{i_1 \ldots i_m}$$
$$e_i^{'} \longrightarrow f_i$$

is surjective if and only if $V(F:G) = \emptyset$ (or $F^{sat} = G^{sat}$). In this case, all nonzero maximal minors of size $\dim_{\mathbb{K}}(S_{\nu})$ of the matrix ∂_{ν} is a multiple of the residual resultant, and the gcd of all these maximal minors is exactly the residual resultant.

Example 3.5. We consider the following example

$$\begin{cases} f_0 = a_0 z + a_1 x + a_2 y + a_3 (x^2 + y^2) \\ f_1 = b_0 z + b_1 x + b_2 y + b_3 (x^2 + y^2) \\ f_2 = c_0 z + c_1 x + c_2 y + c_3 (x^2 + y^2), \end{cases}$$

of three circles in the plane. We would like to know when they intersect outside the two trivial points given by $V(z, x^2 + y^2)$. We use *Macaulay2* to compute the associated residual resultant matrix:

>F=G*H;

```
>L=eliminationMatrix({x,y,z},G,H)
```

>(maxCol(L,Strategy=>Numeric))_0

(the Numeric strategy in maxCol can also be chosen to be Exact which is the default if not strategy is given) which returns:

(a_3)	b_3	c_3	$-a_3b_1 + a_1b_3$	0	$-a_3c_1 + a_1c_3$
0	0	0	$-a_3b_2 + a_2b_3$	$-a_3b_1 + a_1b_3$	$-a_3c_2 + a_2c_3$
a_1	b_1	c_1	$-a_3b_0 + a_0b_3$	0	$-a_3c_0+a_0c_3$
a_3	b_3	c_3	0	$-a_3b_2 + a_2b_3$	0
a_2	b_2	c_2	0	$-a_3b_0 + a_0b_3$	0
a_0	b_0	c_0	0	0	0 /

whose determinant is the desired condition multiplied by $a_3(-a_2b_3 + a_3b_2)$.

Next example show how the right-most map of a free resolution does not coincide with the matrix we compute by applying directly the **CiRes** method, but they does coincide by reordering their columns and changing their signs.

Example 3.6. (Follows from Example 3.5) Take F := G*H. The matrix L can not be computed as the right most map of any free resolution of I := ideal F:ideal G in degree nu := ciResDegGH ({x,y,z},G,H), but, in this case, both matrices coincides by alternating their columns and changing their signs. This is, the matrix ((mapsComplex (nu, {x,y,z}, res I))_0 has exactly the same columns as L, hence, in this example the Complete Intersection Residual Resultant can be computed by means of any of these two matrices by taking gcd of maximal minors, or as the determinant of the complex res I with respect to the variables {x,y,z} in degree 2.

Notice that this equality is not general. For instance, try the following example:

Example 3.7.

```
>R = QQ[X,Y,Z,W,x,y,z];
>F = matrix{{x*y^2,y^3,x*z^2,y^3+z^3}}
>G = matrix{{y^2,z^2};
>M = matrix{{W,0,0},{0,W,0},{0,0,W},{-X,-Y,-Z}};
>H = (F//G)*M
>l = {x,y,z};
>CmR1 = (eliminationMatrix (1,G,H, Strategy => CM2Residual))
>CmR2 = (eliminationMatrix (1,G,H, Strategy => byResolution))
```

3.2.3. Residual resultants of a local complete intersection ACM of codimension 2. We have just seen that if the ideal $G = (g_1, \ldots, g_m)$ is a complete intersection we know how to compute the corresponding residual resultant. There is another case where we have similar results, the case where G is a local complete intersection of codimension 2 arithmetically Cohen-Macaulay (abbreviated ACM) ideal [Bus01b]. For simplicity we restrict ourselves to the case of three homogeneous variables [Bus01a], i.e.

n = 2, since in this case G has only to be an ideal of \mathbb{P}^2 defining isolated points. We refer to [Bus01b] chapter 3 for the general situation.

First we compute the syzygies of G, i.e. the matrix ψ which is such that:

(2)
$$0 \to \bigoplus_{i=1}^{m-1} S[-l_i] \xrightarrow{\psi} \bigoplus_{i=1}^m S[-k_i] \xrightarrow{\gamma=(g_1,\dots,g_m)} G \to 0,$$

with $\sum_{i=1}^{m-1} l_i = \sum_{i=1}^m k_i$. At this point we can compute the degree of the residual resultant: it is homogeneous in the coefficient of each f_i , i = 0, 1, 2, of degree

$$\frac{d_0 d_1 d_2}{d_i} - \frac{\sum_{j=1}^{m-1} l_j^2 - \sum_{j=1}^m k_j^2}{2}.$$

Now we construct the $m \times (m+2)$ glued matrix

$$\bigoplus_{i=1}^{m-1} S[-l_i] \bigoplus_{i=0}^2 S[-d_i] \xrightarrow{\psi \oplus \phi} \bigoplus_{i=1}^m S[-k_i],$$

where ϕ is the matrix $(h_{i,j})_{1 \le i \le m, 0 \le j \le 2}$. And we have:

Theorem 3.8. We denote by $\Delta_{i_1,...,i_m}$ the determinant of the submatrix of the map $\phi \oplus \psi$ corresponding to columns $i_1,...,i_m$, and by $\alpha_{i_1,...,i_m}$ its degree. Then, for any $\nu \geq \sum_{i=0}^n d_i - n(k_m + 1)$, the morphism

$$\partial_{\nu} : \bigoplus_{0 \le i_1 < \ldots < i_m \le n} S_{\nu - \alpha_{i_1, \ldots, i_m}} e_{i_1} \wedge \cdots \wedge e_{i_m} \longrightarrow S_{\nu}$$
$$e_{i_1} \wedge \cdots \wedge e_{i_m} \mapsto \Delta_{i_1 \ldots i_m}$$

is surjective if and only if $V(F:G) = \emptyset$ (or $F^{sat} = G^{sat}$). In this case, all non-zero maximal minors of size $\dim_{\mathbb{K}}(S_{\nu})$ of the matrix ∂_{ν} is a multiple of the residual resultant, and the gcd of all these maximal minors is exactly the residual resultant.

Example 3.9. As a simple example we consider the residual resultant of three cubics in \mathbb{P}^2 passing through the same three points. Here is the code:

```
>R=ZZ/32003[a_0..a_8,b_0..b_8,c_0..c_8,x_0,x_1,x_2];
>G=matrix{{x_0*x_1,x_0*x_2,x_1*x_2}};
>l0=for i from 0 to 2 list a_(0+3*i)*x_0+a_(1+3*i)*x_1+a_(2+3*i)*x_2;
>l1=for i from 0 to 2 list b_(0+3*i)*x_0+b_(1+3*i)*x_1+b_(2+3*i)*x_2;
>l2=for i from 0 to 2 list c_(0+3*i)*x_0+c_(1+3*i)*x_1+c_(2+3*i)*x_2;
>H=matrix{10,11,12};
>eliminationMatrix({x_0,x_1,x_2},G,H,Strategy=>CM2Residual)
```

We obtain a 10×10 matrix which is too big to be printed here.

Example 3.10. What is the condition so that four cubics in \mathbb{P}^3 containing the twisted cubic have a common point outside this twisted cubic? We consider the following polynomials, i = 0, 1, 2, 3,

$$f_i = h_{1,i}(x)(x_1^2 - x_0x_2) + h_{2,i}(x)(x_1x_2 - x_0x_3) + h_{3,i}(x)(x_2^2 - x_1x_3),$$

where $h_{i,j}(x) = c_{i,j}^0 x_0 + c_{i,j}^1 x_1 + c_{i,j}^2 x_2 + c_{i,j}^3 x_3$ are linear forms. We just have to compute the residual resultant of this system, taking for the ideal G the ideal of the twisted cubic, that is to say $G = (-x_1^2 + x_0 x_2, -x_1 x_2 + x_0 x_3, -x_2^2 + x_1 x_3)$. Its syzygies are given by the matrices

$$\psi = \begin{pmatrix} -x_2 & x_3 \\ x_1 & -x_2 \\ -x_0 & x_1 \end{pmatrix}, \quad \gamma = (x_1^2 - x_0 x_2, x_1 x_2 - x_0 x_3, x_2^2 - x_1 x_3).$$

From here, we can use eliminationMatrix with the strategy CM2Residual to compute the residual resultant matrix.

3.3. **Determinantal resultants.** Determinantal resultants have been introduced in [Bus01b] and further studied in [Bus04] and [BG05]. They correspond to a generalization of the classical resultants. We here restrict ourselves to the case of homogeneous polynomials and refer to the cited papers for more general situations.

Let m, n and r be three integers such that $m \ge n > r \ge 0$. Given two sequences of integers $\{d_1, \ldots, d_m\}$ and $\{k_1, \ldots, k_n\}$ (not necessary positives) satisfying $d_i > k_j$ for all i, j, we consider matrices of size $n \times m$ of homogeneous polynomials in variables $\mathbf{x} = (x_1, \ldots, x_{(m-r)(n-r)})$

$$H = \begin{pmatrix} h_{1,1}(\mathbf{x}) & h_{1,2}(\mathbf{x}) & \cdots & h_{1,m}(\mathbf{x}) \\ h_{2,1}(\mathbf{x}) & h_{2,2}(\mathbf{x}) & \cdots & h_{2,m}(\mathbf{x}) \\ \vdots & \vdots & & \vdots \\ h_{n,1}(\mathbf{x}) & h_{n,2}(\mathbf{x}) & \cdots & h_{n,m}(\mathbf{x}) \end{pmatrix}$$

where $h_{i,j}(\mathbf{x}) = \sum_{|\alpha|=d_j-k_i} c_{\alpha}^{i,j} \mathbf{x}^{\alpha}$ is of degree $d_j - k_i$ and have coefficients $c_{\alpha}^{i,j}$ with value in a field K. The determinantal resultant of H, denotes hereafter $\operatorname{Res}(H)$ is a polynomial in the coefficients $c_{\alpha}^{i,j}$'s such that for any specialization of all these coefficients in K we have

$$\operatorname{Res}(H) = 0 \Leftrightarrow \exists x \in \mathbb{P}^{(m-r)(n-r)} : \operatorname{rank}(H(x)) \le r$$

In other words determinantal resultants give a necessary and sufficient condition so that a polynomial matrix depending on parameters is not of generic rank (with respect to its coefficients). We know how to compute them, as well as their multi-degree. They are multi-homogeneous in the coefficients of each column *i* (that is in the coefficients of the polynomials $h_{1,i}, h_{2,i}, \ldots, h_{n,i}$), $i = 1, \ldots, m$; their partial degree is the coefficient of α_i of the multivariate polynomial (in variables $\alpha_1, \ldots, \alpha_m$)

$$(-1)^{(m-r)(n-r)}\Delta_{m-r,n-r}\left(\frac{\prod_{i=1}^{m}(1-(d_i+\alpha_i)t)}{\prod_{i=1}^{n}(1-k_it)}\right)$$

where for all formal series $s(t) = \sum_{k=-\infty}^{+\infty} c_k(s) t^k$, we set

$$\Delta_{p,q}(s) = \det \begin{pmatrix} c_p(s) & \cdots & c_{p+q-1}(s) \\ \vdots & & \vdots \\ c_{p-q+1}(s) & \cdots & c_p(s) \end{pmatrix}.$$

Example 3.11. The computation of the multi-degree of the determinantal resultant corresponding to m = 3, n = 2, r = 1 can be done as follows:

>R=ZZ[d1,d2,d3,k1,k2]

>detResDeg(1,{d1,d2,d3},{k1,k2},R)

It returns $\{d1 + d2 + d3 - k1 - 2k2 - 1, \{d2 + d3 - k1 - k2, d1 + d3 - k1 - k2, d1 + d2 - k1 - k2\}\}$ that gives the critical degree (see below) and the multi-degree of the determinantal resultant.

We now describe how to compute explicitly determinantal resultants. Consider the map

$$\bigoplus_{i_1 < \dots < i_{r+1}, j_1 < \dots < j_{r+1}} R_{[d - \sum_{t=1}^{r+1} d_{i_t} + \sum_{t=1}^{r+1} k_{i_t}]} e_{i_1, \dots, i_{r+1}, j_1, \dots, j_{r+1}} \xrightarrow{\sigma_d} R_{[d]}$$

which associates to each $e_{i_1,...,i_{r+1},j_1,...,j_{r+1}}$ the polynomial $\Delta_{i_1,...,i_{r+1},j_1,...,j_{r+1}}$ denoting the determinant of the minor

$$\begin{pmatrix} h_{j_1,i_1}(\mathbf{x}) & h_{j_1,i_2}(\mathbf{x}) & \cdots & h_{j_1,i_{r+1}}(\mathbf{x}) \\ h_{j_2,i_1}(\mathbf{x}) & h_{j_2,i_2}(\mathbf{x}) & \cdots & h_{j_2,i_{r+1}}(\mathbf{x}) \\ \vdots & \vdots & \ddots & \vdots \\ h_{j_{r+1},i_1}(\mathbf{x}) & h_{j_{r+1},i_2}(\mathbf{x}) & \cdots & h_{j_{r+1},i_{r+1}}(\mathbf{x}) \end{pmatrix},$$

R denoting the polynomial ring $\mathbb{K}[x_1, \ldots, x_{(m-r)(n-r)}]$ and $R_{[t]}$ being the vector space of homogeneous polynomials of fixed degree t. We define the critical degree to be the integer

$$\nu_{\mathbf{d},\mathbf{k}} = (n-r)\left(\sum_{i=1}^{m} d_i - \sum_{i=1}^{n} k_i\right) - (m-n)(k_{r+1} + \dots + k_n) - (m-r)(n-r) + 1.$$

Proposition 3.12. Choose an integer $d \ge \nu_{\mathbf{d},\mathbf{k}}$. All nonzero maximal minor (of size $\sharp R_{[d]}$) of the map σ_d is a multiple of the determinantal resultant $\operatorname{Res}(H)$. Moreover the greatest common divisor of all the determinants of these maximal minors is exactly $\operatorname{Res}(H)$.

This proposition gives us an algorithm to compute explicitly the determinantal resultant, completely similar to the one giving the expression of the Macaulay resultant. Notice that it is also possible to give the equivalent (in a less explicit form) of the so-called Macaulay matrices (of the Macaulay resultant) for the principal (i.e. r = n - 1) determinantal resultant [Bus01b, Bus04].

In [Bus04] §5.3 determinantal resultant with m = n + 1 and r = n - 1 are used to compute Chow forms of rational normal scrolls.

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