

# AUTOMORPHISM GROUPS OF FINITE POSETS

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ABSTRACT. For any finite group  $G$ , we construct a finite poset (or equivalently, a finite  $T_0$ -space)  $X$ , whose group of automorphisms is isomorphic to  $G$ . If the order of the group is  $n$  and it has  $r$  generators,  $X$  has  $n(r+2)$  points. This construction improves previous results by G. Birkhoff and M.C. Thornton. The relationship between automorphisms and homotopy types is also analyzed.

## 1. INTRODUCTION

It is well known that any finite group  $G$  can be realized as the automorphism group of a finite poset. In 1946 Birkhoff [1] proved that if the order of  $G$  is  $n$ ,  $G$  can be realized as the automorphisms of a poset with  $n(n+1)$  points. In 1972 Thornton [2] improved slightly Birkhoff's result: He obtained a poset of  $n(2r+1)$  points, when the group is generated by  $r$  elements. Following Birkhoff's and Thornton's ideas, we exhibit here a simple proof of the following fact which improves their results

**Theorem.** *Given a group  $G$  of finite order  $n$  with  $r$  generators, there exists a poset  $X$  with  $n(r+2)$  points such that  $\text{Aut}(X) \simeq G$ .*

The proof of the theorem uses basic topology. Recall that there exists a one-to-one correspondence between finite posets and finite  $T_0$ -topological spaces. Given a finite poset  $X$ , the subsets  $U_x = \{y \in X \mid y \leq x\}$  constitute a basis for a topology on the set  $X$ . Conversely, given a  $T_0$ -topology on the set  $X$ , one can define a partial order given by  $x \leq y$  if  $x$  is contained in every open set which contains  $y$ . It is easy to see that these applications are mutually inverse. Therefore we regard finite posets and finite  $T_0$ -spaces as the same objects. Order preserving functions correspond to continuous maps and lower sets to open sets. A finite poset is connected if and only if it is connected as a topological space. For further details see [3].

## 2. THE PROOF

Let  $\{h_1, h_2, \dots, h_r\}$  be a set of  $r$  generators of  $G$ . We define the poset  $X = G \times \{-1, 0, \dots, r\}$  with the following order

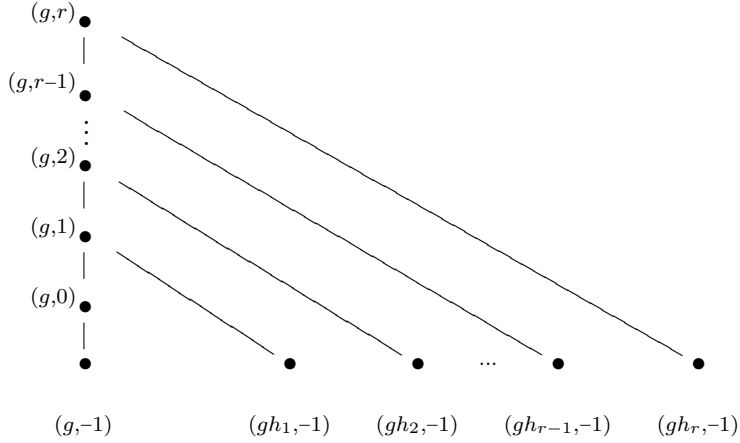
- $(g, i) \leq (g, j)$  if  $-1 \leq i \leq j \leq r$
- $(gh_i, -1) \leq (g, j)$  if  $1 \leq i \leq j \leq r$

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Fig. 1:  $U_{(g,r)}$ 

Define  $\phi : G \rightarrow \text{Aut}(X)$  by  $\phi(g)(h, i) = (gh, i)$ . It is easy to see that  $\phi(g) : X \rightarrow X$  is order preserving and that it is an automorphism with inverse  $\phi(g^{-1})$ . Therefore  $\phi$  is a well defined homomorphism. Clearly  $\phi$  is a monomorphism since  $\phi(g) = 1$  implies  $(g, -1) = \phi(g)(e, -1) = (e, -1)$ .

It remains to show that  $\phi$  is an epimorphism. Let  $f : X \rightarrow X$  be an automorphism. Since  $(e, -1)$  is minimal in  $X$ , so is  $f(e, -1)$  and therefore  $f(e, -1) = (g, -1)$  for some  $g \in G$ . We will prove that  $f = \phi(g)$ .

Let  $Y = \{x \in X \mid f(x) = \phi(g)(x)\}$ .  $Y$  is non-empty since  $(e, -1) \in Y$ . We prove first that  $Y$  is an open subspace of  $X$ . Suppose  $x = (h, i) \in Y$ . Then the restrictions

$$f|_{U_x}, \phi(g)|_{U_x} : U_x \rightarrow U_{f(x)}$$

are isomorphisms. On the other hand, there exists a unique automorphism  $U_x \rightarrow U_x$  since the unique chain of  $i + 2$  elements must be fixed by any such automorphism. Thus,  $f|_{U_x}^{-1}\phi(g)|_{U_x} = 1_{U_x}$ , and then  $f|_{U_x} = \phi(g)|_{U_x}$ , which proves that  $U_x \subseteq Y$ . Similarly we see that  $Y \subseteq X$  is closed. Assume  $x = (h, i) \notin Y$ . Since  $f \in \text{Aut}(X)$ , it preserves the height  $ht(y)$  of any point  $y$ . In particular  $ht(f(x)) = ht(x) = i + 1$  and therefore  $f(x) = (k, i) = \phi(kh^{-1})(x)$  for some  $k \in G$ . Moreover  $k \neq gh$  since  $x \notin Y$ . As above,  $f|_{U_x} = \phi(kh^{-1})|_{U_x}$ , and since  $kh^{-1} \neq g$  we conclude that  $U_x \cap Y = \emptyset$ .

We prove now that  $X$  is connected. It suffices to prove that any two minimal elements of  $X$  are in the same connected component. Given  $h, k \in G$ , we have  $h = kh_{i_1}h_{i_2}\dots h_{i_m}$  for some  $1 \leq i_1, i_2, \dots, i_m \leq r$ . On the other hand,  $(kh_{i_1}h_{i_2}\dots h_{i_s}, -1)$  and  $(kh_{i_1}h_{i_2}\dots h_{i_{s+1}}, -1)$  are connected via  $(kh_{i_1}h_{i_2}\dots h_{i_s}, -1) < (kh_{i_1}h_{i_2}\dots h_{i_s}, r) > (kh_{i_1}h_{i_2}\dots h_{i_{s+1}}, -1)$ . This implies that  $(k, -1)$  and  $(h, -1)$  are in the same connected component.

Finally, since  $X$  is connected and  $Y$  is closed, open and nonempty,  $Y = X$ , i.e.  $f = \phi(g)$ . Therefore  $\phi$  is an epimorphism, and then  $G \simeq \text{Aut}(X)$ .  $\square$

### 3. HOMOTOPY TYPES

If the generators  $h_1, h_2, \dots, h_r$  are non-trivial, the open sets  $U_{(g,r)}$  look as in Fig. 1. In that case it is not hard to prove that the finite space  $X$  constructed above is weak homotopy equivalent to a wedge of  $n(r - 1) + 1$  circles, or in other words, that the order

complex of  $X$  is homotopy equivalent to a wedge of  $n(r - 1) + 1$  circles. The space  $X$  deformation retracts to the subspace  $Y = G \times \{-1, r\}$  of its minimal and maximal points. A retraction is given by the map  $f : X \rightarrow Y$ , defined as  $f(g, i) = (g, r)$  if  $i \geq 0$  and  $f(g, -1) = (g, -1)$ . Now the order complex  $\mathcal{K}(Y)$  of  $Y$  is a connected simplicial complex of dimension 1, so its homotopy type is completely determined by its Euler Characteristic. This complex has  $2n$  vertices and  $n(r + 1)$  edges, which means that it has the homotopy type of a wedge of  $1 - \chi(\mathcal{K}(Y)) = n(r - 1) + 1$  circles.

On the other hand, note that in general the automorphism group of a finite space, does not say much about its homotopy type as we state in the following

*Remark.* Given a finite group  $G$  and a finite space  $X$ , there exists a finite space  $Y$  which is homotopy equivalent to  $X$  and such that  $\text{Aut}(Y) \simeq G$ .

We make this construction in two steps. First, we find a finite  $T_0$ -space  $\tilde{X}$  homotopy equivalent to  $X$  and such that  $\text{Aut}(\tilde{X}) = 0$ . To do this, assume that  $X$  is  $T_0$  and consider a linear extension  $x_1, x_2, \dots, x_n$  of the poset  $X$ . Now, for each  $1 \leq k \leq n$  attach a chain of length  $kn$  to  $X$  with minimum  $x_{n-k+1}$ . The resulting space  $\tilde{X}$  deformation retracts to  $X$  and every automorphism  $f : \tilde{X} \rightarrow \tilde{X}$  must fix the unique chain  $C_1$  of length  $n^2$  (with minimum  $x_1$ ). Therefore  $f$  restricts to a homeomorphism  $\tilde{X} \setminus C_1 \rightarrow \tilde{X} \setminus C_1$  which must fix the unique chain  $C_2$  of length  $n(n - 1)$  of  $\tilde{X} \setminus C_1$  (with minimum  $x_2$ ). Applying this reasoning repeatedly, we conclude that  $f$  fixes every point of  $\tilde{X}$ . On the other hand, we know that there exists a finite  $T_0$ -space  $Z$  such that  $\text{Aut}(Z) = G$ .

Now the space  $Y$  is constructed as follows. Take one copy of  $\tilde{X}$  and of  $Z$ , and put every element of  $Z$  under  $x_1 \in \tilde{X}$ . Clearly  $Y$  deformation retracts to  $\tilde{X}$ . Moreover, if  $f : Y \rightarrow Y$  is an automorphism,  $f(x_1) \notin Z$  since  $f(x_1)$  cannot be comparable with  $x_1$  and distinct from it. Since there is only one chain of  $n^2$  elements in  $\tilde{X}$ , it must be fixed by  $f$ . In particular  $f(x_1) = x_1$ , and then  $f|_Z : Z \rightarrow Z$ . Thus  $f$  restricts to automorphisms of  $\tilde{X}$  and of  $Z$  and therefore  $\text{Aut}(Y) \simeq \text{Aut}(\tilde{X}) \simeq \text{Aut}(Z) \simeq G$ .

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