

# CURVES OF GENUS TEN ON K3 SURFACES

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**Introduction.** Let  $C$  denote a smooth complete algebraic curve and  $L$  a line bundle on  $C$ . There is a natural map, called the Wahl or Gaussian map,

$$\Phi_L : \wedge^2 H^0(C, L) \rightarrow H^0(C, \Omega_C^1 \otimes L^{\otimes 2})$$

which sends  $s \wedge t$  to  $s dt - t ds$ . J. Wahl made the striking observation that if  $C$  is embeddable in a K3 surface then  $\Phi_L$  is not onto for  $L = \Omega_C^1$  ([W], Thm. 5.9); this raises the natural problem of studying the stratification of the moduli space of curves  $\mathcal{M}_g$  by the rank of the Wahl map  $\Phi(C) = \Phi_{\Omega_C^1}$ . Roughly speaking, our main theorem says that the closure of the locus of curves of genus 10 which lie on a K3 is equal to the locus where  $\Phi(C)$  fails to be surjective.

In order to state the theorem precisely and explain what is special about the case of genus 10, we need to introduce some spaces. Let  $\mathcal{F}_g$  be the moduli space of K3 surfaces with a polarization of genus  $g$ ,  $\mathcal{P}_g$  the union, over all  $S \in \mathcal{F}_g$  of the linear series  $|\mathcal{O}_S(1)|$ . Let  $\mathcal{K}$  be the closure of the image of the natural rational map  $\mu : \mathcal{P}_g \rightarrow \mathcal{M}_g$ . As the dimension of  $\mathcal{P}_g$  is 29 and the dimension of  $\mathcal{M}_g$  is  $3g - 3$ , one might naively expect  $\mu$  to be dominant for  $g \leq 10$  and finite onto its image for  $g \geq 11$ . These expectations hold for  $g \leq 9$  ([M], Thm. 6.1) and for odd  $g \geq 11$  and even  $g \geq 20$  ([M-M], Thm. 1), but for  $g = 10$ , Mukai showed that  $\mu$  is not dominant ([M], Thm. 0.7). This exceptional behavior is due to the fact that the general K3 surface of genus 10 is a codimension 3 plane section of a certain 5-fold, so that when a curve lies on a general K3, it in fact lies on a 3-dimensional family of them. One of our first tasks is to show that  $\mathcal{K}$ , the closure of the image of  $\mu$ , is a divisor when  $g = 10$ .

Over the open subset  $\mathcal{M}_{10}^o$  of  $\mathcal{M}_{10}$  of curves without automorphisms we have the relative Wahl map; let  $\mathcal{W}^o$  denote its degeneracy locus and  $\mathcal{W}$  the closure of  $\mathcal{W}^o$  in  $\mathcal{M}_{10}$ . It is a

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theorem of Ciliberto-Harris-Miranda [C-H-M] that  $\mathcal{W}$  is a divisor (i.e. the Wahl map does not degenerate everywhere), and by Wahl's theorem  $\mathcal{K} \leq \mathcal{W}$ . Our result can then be stated as follows.

**Theorem.** *We have an equality of divisors*

$$\mathcal{W} = 4\mathcal{K}.$$

*Moreover, for the general curve  $C$  of genus 10 which can be embedded in a  $K3$  surface, the codimension of the image of the Wahl map  $\Phi(C)$  is 4.*

It is worth remarking that *a priori* not every curve of genus 10 on a  $K3$  appears in  $\mathcal{K}$ : the variety  $\mathcal{P}$  consists of pairs  $(S, C)$  where  $\mathcal{O}_S(C)$  is indivisible in  $\text{Pic}(S)$ . But by Wahl's theorem, every curve on a  $K3$  has a degenerate Wahl map, so by the theorem defines a point of  $\mathcal{K}$ . It would be interesting to see explicitly a family of curves polarizing  $K3$ s of genus 10 degenerating, for instance, to a plane sextic (which polarizes a  $K3$  of genus 2).

We also note that Voisin proved ([V] Prop. 3.3) that the corank of  $\Phi(C)$  is at most 3 for a genus 10 curve satisfying certain hypothesis (3.1) i), ii) and iii) (*loc. cit.*). These hypotheses hold for a general curve, and i) and iii) hold for a general curve on a  $K3$ . It follows that ii) does not hold for any curve of genus 10 on a  $K3$ , answering negatively [V] (4.13)a) for  $g = 10$ .

To prove the theorem we first study the cohomology of a certain 5-fold  $X$ , which is a homogeneous space for the exceptional Lie group  $G_2$ , using a theorem of Bott as in [M]. This allows us to show, in §2, that  $\mathcal{K}$  is a divisor and that for every  $C$  which is a smooth codimension 4 plane section of  $X$ , the corank of  $\Phi(C)$  is 4. This establishes the inequality of divisors  $\mathcal{W} \geq 4\mathcal{K}$ . In §3, we compute the classes of the divisors  $\mathcal{W}$  and  $\mathcal{K}$  and find that  $\mathcal{W}$  is linearly equivalent to  $4\mathcal{K}$ . The desired equality of divisors then follows.

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**§1. The cohomology of the 5-fold  $X$ .** One of the main tools in our analysis will be the cohomology groups of a certain homogeneous variety  $X$  used by Mukai [M] to study the moduli space of  $K3$  surfaces of genus 10. To recall the definition, let  $\mathfrak{g}$  be the complex semisimple Lie algebra attached to the exceptional root system  $G_2$ , let  $G$  be the corresponding simply connected Lie group, and let  $\rho : G \rightarrow \text{Aut}(\mathfrak{g})$  be the adjoint representation. If  $v \in \mathfrak{g}$  is a highest weight vector for  $\rho$ , then  $X = \rho(G)v$  is the orbit of  $v$ . Equivalently, if  $P \subseteq G$  is the maximal parabolic subgroup of  $G$  associated to the longer of the two roots in a system of simple roots for  $\mathfrak{g}$ , then  $X \cong G/P$ . The homogeneous variety  $X$  has dimension

5 and is naturally embedded in  $\mathbf{P}(\mathfrak{g})$  as a subvariety of degree 18; its canonical bundle is isomorphic to  $\mathcal{O}(-3)$  ([M], p. 363). Mukai shows that the general K3 surface of genus 10 is a codimension 3 plane section of  $X$  and any abstract isomorphism between two such K3s is realized by the action of  $G$  on the Grassmannian of codimension 3 planes in  $\mathbf{P}(\mathfrak{g})$  ([M], Thm. 0.2).

Recall that homogeneous vector bundles on  $X$  are in one to one correspondence with finite dimensional linear representations of  $P$ . For example, if  $\{\alpha_1, \alpha_2\}$  is a basis for the root system  $G_2$  with  $\alpha_1$  the shorter root, so that  $P$  is the subgroup corresponding to the subalgebra whose roots are all of the negative roots together with  $\alpha_1$ , then the tangent bundle to  $X = G/P$  corresponds to the (reducible) representation of  $P$  with highest weight  $w_1 = 3\alpha_1 + 2\alpha_2$ . It has an irreducible rank 4 subbundle corresponding to the representation of  $P$  with highest weight  $\alpha_2 + 3\alpha_1$  and the quotient is isomorphic to  $\mathcal{O}_X(1)$ , corresponding to the irreducible representation of  $P$  with highest weight  $w_1$ . Similarly  $N_X$ , the normal bundle of  $X$  in  $\mathbf{P}(\mathfrak{g})$ , has a composition series with quotients of rank 1, 3 and 4 corresponding to irreducible representations with highest weights 0,  $4\alpha_1 + 2\alpha_2$ , and  $6\alpha_1 + 3\alpha_2$  respectively.

Now a theorem of Bott ([B]; see also [M], 1.6) asserts that when  $E$  is an irreducible homogeneous vector bundle on a compact homogeneous variety  $X = G/P$ , at most one of the cohomology groups  $H^i(X, E)$  is non-zero, and when non-zero, the group is an irreducible  $G$ -module. Moreover, he gives a recipe for calculating the index of the non-vanishing cohomology group. Application of this result to the  $X$  considered above, which we leave as a pleasant exercise for the reader (compare [M], §1), yields the following result.

**Lemma 1.1.**

- (1) We have  $h^0(X, T_X(-1)) = 0$  and  $H^0(X, T_X) \cong \mathfrak{g}$  as a  $G$ -module. Moreover,  $h^i(X, T_X(-i)) = h^i(X, T_X(-i-1)) = 0$  for  $i = 1, 2, 3, 4$ .
- (2) We have  $H^0(X, N_X(-1)) \cong \mathfrak{g}$  as a  $G$ -module and  $h^i(X, N_X(-i-1)) = 0$  for  $i = 1, \dots, 4$ . Also,  $h^i(X, N_X(-i-2)) = 0$  for  $i = 0, \dots, 4$ .

Now suppose that  $S$  is a smooth codimension 3 plane section of  $X$  and that  $C$  is a smooth hyperplane section of  $S$ ; then  $S$  is a K3 surface and  $C$  is a canonically embedded curve of genus 10. Using Koszul resolutions of  $\mathcal{O}_S$  and  $\mathcal{O}_C$  as  $\mathcal{O}_X$ -modules, one easily checks the following assertions.

**Lemma 1.2.**

- (1)  $h^0(S, N_S(-1)) = 14$ .
- (2)  $h^0(C, T_X(-1)|_C) = 0$  and  $h^0(C, T_X|_C) = 14$ .
- (3)  $h^0(C, N_C(-2)) = 0$  and  $h^0(C, N_C(-1)) = 14$ .

(Here  $N_C$  and  $N_S$  are the normal bundles to  $C$  and  $S$  in the projective spaces they span in  $\mathbf{P}(\mathfrak{g})$ ; the last part also uses the standard isomorphism  $N_X|_C \cong N_C$ .)

**§2. The corank of the Wahl map.** We retain the notations of the introduction.

**Proposition 2.1.** *Suppose  $S$  is a general K3 surface of genus 10. Then  $h^1(S, T_S(-1)) = 3$  and  $h^2(S, T_S(-1)) = 1$ .*

PROOF: Consider the exact sequence

$$0 \rightarrow T_S(-1) \rightarrow T_{\mathbf{P}}(-1)|_S \rightarrow N_S(-1) \rightarrow 0$$

where  $S \subseteq \mathbf{P} = \mathbf{P}^{10}$  is the given embedding. The long exact sequence of cohomology yields

$$0 \rightarrow H^0(S, T_{\mathbf{P}}(-1)|_S) \rightarrow H^0(S, N_S(-1)) \rightarrow H^1(S, T_S(-1)) \rightarrow H^1(S, T_{\mathbf{P}}(-1)|_S).$$

But the Euler sequence for  $T_{\mathbf{P}}|_S$  implies that  $h^0(T_{\mathbf{P}}(-1)|_S) = 11$  and  $h^1(T_{\mathbf{P}}(-1)|_S) = 0$ . Indeed, we have

$$\begin{aligned} 0 \rightarrow H^0(S, \mathcal{O}_S)^{11} \rightarrow H^0(S, T_{\mathbf{P}}(-1)|_S) \rightarrow H^1(S, \mathcal{O}_S(-1)) \rightarrow \\ H^1(S, \mathcal{O}_S)^{11} \rightarrow H^1(S, T_{\mathbf{P}}(-1)|_S) \rightarrow H^2(S, \mathcal{O}_S(-1)) \rightarrow H^2(S, \mathcal{O}_S)^{11} \end{aligned}$$

with  $H^1(S, \mathcal{O}_S) = 0$  ( $S$  is a K3) and  $H^1(S, \mathcal{O}_S(-1)) = 0$  ([K], Thm. 2.5); moreover, the map  $H^2(S, \mathcal{O}_S(-1)) \rightarrow H^2(S, \mathcal{O}_S)^{11}$  is injective by duality and the projective normality of  $S$  ([Ma], Prop. 2). By Lemma 1.2,  $h^0(S, N_S(-1)) = 14$ , so  $h^1(S, T_S(-1)) = 3$ . As  $h^0(S, T_S(-1)) = 0$ , Riemann-Roch implies  $h^2(S, T_S(-1)) = 1$ .

**Proposition 2.2.** *The locus  $\mathcal{K} \subseteq \mathcal{M}_{10}$  is a divisor.*

PROOF: First we need some deformation theory. Generally, given a smooth complete curve  $C$  in a smooth complete surface  $S$ , we have the tangent sheaf  $T_S$  of  $S$ , the tangent sheaf  $T_C$  of  $C$  and the restriction  $T_S|_C = T_S \otimes \mathcal{O}_C$ . Extending the latter two sheaves by 0 on  $S$ , we can define a coherent sheaf  $F$  on  $S$  as the fiber product

$$\begin{array}{ccc} F & \longrightarrow & T_C \\ \downarrow & & \downarrow \\ T_S & \longrightarrow & T_S|_C. \end{array}$$

The sheaf  $F$  is locally free of rank 2 and sits in exact sequences

$$(2.3) \quad 0 \rightarrow T_S(-C) \rightarrow F \rightarrow T_C \rightarrow 0$$

and

$$(2.4) \quad 0 \rightarrow F \rightarrow T_S \rightarrow N_{C|S} \rightarrow 0.$$

It is easy to check that the space of first order deformations of the pair  $C \subseteq S$  is isomorphic to  $H^1(S, F)$ .

Returning to the case where  $S$  is a general K3 of genus 10 and  $C$  is a smooth plane section of  $C$ , the long exact cohomology sequence of (2.3) gives

$$0 \rightarrow H^1(S, T_S(-C)) \rightarrow H^1(S, F) \rightarrow H^1(C, T_C) \rightarrow H^2(S, T_S(-C)) \rightarrow H^2(S, F) \rightarrow 0$$

and by Proposition 2.1,  $h^2(S, T_S(-C)) = 1$ . But  $H^1(S, F) \rightarrow H^1(C, T_C)$  cannot be surjective as the locus of curves on K3s has codimension at least one in  $\mathcal{M}_{10}$ . Thus  $h^2(S, F) = 0$ ,  $h^1(S, F) = 29$  and the codimension of the image of  $H^1(S, F) \rightarrow H^1(C, T_C)$  is exactly 1. But this last map is the differential of the map  $\mu$  of the Introduction, so the image of  $\mu$  actually fills out a divisor.

REMARK 2.5. Let  $\mu : \mathcal{P} \rightarrow \mathcal{M}_{10}$  be the rational moduli map as in the Introduction. If  $\mathcal{K}$  is the closure of the image of  $\mu$  and  $N$  is the normal bundle of  $\mathcal{K}$  in  $\mathcal{M}_{10}$  then it follows from the long exact cohomology sequence of (2.4) and the analysis above that the fiber at  $(C, S) \in \mathcal{P}$  (for  $C$  a curve in the K3 surface  $S$ ) of the bundle  $\mu^*(N)$  is the one dimensional vector space  $H^2(S, T_S(-C))$ .

**Proposition 2.6.** *If  $C$  is a smooth codimension 4 plane section of  $X$ , then  $\text{Corank } \Phi(C) = 4$ . For every  $C$  in  $\mathcal{K}$ ,  $\text{Corank } \Phi(C) \geq 4$ .*

PROOF: By [B-E-L] (2.11),  $\text{Corank } \Phi(C) = h^0(C, N_C(-1)) - g$  where  $N_C$  is the normal bundle to  $C$  in its canonical embedding. But by Lemma 1.2,  $h^0(C, N_C(-1)) = 14$  for a smooth codimension 4 plane section of  $X$ . The second assertion follows by semi-continuity.

REMARKS 2.7. a) If  $C$  is any smooth codimension 4 plane section of  $X$  then the Clifford index of  $C$  is at least 3: if  $\text{Cliff}(C) \leq 2$ ,  $C$  is either hyperelliptic, trigonal, or a degeneration of a smooth plane sextic and in all these cases, the corank of  $\Phi(C)$  is strictly greater than 4.

b) It is possible to give (at least) two other proofs of the inequality  $\text{Corank } \Phi(C) \geq 4$ : if  $C$

has  $\text{Cliff}(C) \geq 3$ , it follows from results in [B-E-L] that  $h^0(N_C(-2)) = 0$  where  $N_C$  is the normal bundle to  $C$  in its canonical embedding. On the other hand, a smooth codimension 4 plane section  $C$  of  $X$  is clearly 4-extendable, so applying a theorem of Zak (described in [B-E-L]) and [B-E-L], 2.11, we find  $\text{Corank } \Phi(C) \geq 4$ .

c) For a third proof, let  $C$  be a smooth codimension 4 plane section of  $X$  and consider the commutative diagram

$$\begin{array}{ccc} \wedge^2 H^0(X, \mathcal{O}_X(1)) & \xrightarrow{a} & H^0(X, \Omega_X^1(2)) \\ \downarrow b & & \downarrow c \\ \wedge^2 H^0(C, \mathcal{O}_C(1)) & \xrightarrow{d} & H^0(C, \mathcal{O}_C(3)) \end{array} \quad H^0(C, \Omega_X^1(2)|_C).$$

Here the horizontal maps are the Wahl maps for  $\mathcal{O}(1)$  and the other maps are the natural restrictions. Now  $b$  is clearly surjective, so the image of  $d = \Phi(C)$  is contained in the image of  $f$ . We claim that  $f$  has corank 4: the exact sequence of cohomology of  $0 \rightarrow N_{C|X}^*(2) \rightarrow \Omega_X^1(2)|_C \rightarrow \Omega_C^1(2) \rightarrow 0$  gives

$$H^0(C, \Omega_X^1(2)|_C) \rightarrow H^0(C, \Omega_C^1(2)) \rightarrow H^1(C, N_{C|X}^*(2)) \rightarrow H^1(C, \Omega_X^1(2)|_C)$$

and the claim follows by observing that  $h^1(N_{C|X}^*(2)) = h^1(\mathcal{O}_C(-1)^{\oplus 4}(2)) = 4$  and that  $H^1(\Omega_X^1(2)|_C) = H^0(T_X(-1)|_C)^* = 0$  (Lemma 1.2).

**Corollary 2.8.** *We have an inequality of divisors  $\mathcal{W} \geq 4\mathcal{K}$ .*

PROOF: Let  $\mathcal{M} = \mathcal{M}_{10}^o$  denote the moduli space of smooth automorphism-free genus 10 curves over the complex numbers,  $\pi : \mathcal{C} \rightarrow \mathcal{M}$  the universal curve,  $\omega = \Omega_{\mathcal{C}|\mathcal{M}}^1$  the sheaf of relative differentials and  $\lambda = \det(\pi_*(\omega)) \in \text{Pic}(\mathcal{M})$ . We have the relative Wahl map

$$\Phi : \wedge^2 \pi_*(\omega) \rightarrow \pi_*(\omega^{\otimes 3})$$

which is a map of bundles of rank 45; let  $\mathcal{W}$  denote its degeneracy locus. By [C-H-M] the support of  $\mathcal{W}$  is a proper subvariety of  $\mathcal{M}$  and hence  $\mathcal{W}$  is a divisor.

By proposition 2.6, the universal Wahl map  $\Phi$  has corank at least 4 at each point of  $\mathcal{K}$ . It follows that  $\det(\Phi)$  vanishes to order at least 4 along  $\mathcal{K}$ . Indeed, take a small arc  $\{C_t\}$  crossing  $\mathcal{K}$  transversally at a general point  $C_0 \in \mathcal{K}$  and apply the following observation: if  $\{M_t\}$  is a one parameter family of square matrices then  $\text{ord}_{t=0} \det(M_t) \geq \dim \ker(M_0)$ ; this is easily seen by diagonalizing the matrix  $\{M_t\}$  over the discrete valuation ring of convergent power series in  $t$ .

**§3. The classes of  $\mathcal{W}$  and  $\mathcal{K}$ .** We continue to use the notations of the Introduction and §2. For divisors  $D$  and  $E$ , linear equivalence will be denoted  $D \sim E$ . If  $L$  is a line bundle, we write  $D \sim L$  to mean that the line bundles  $\mathcal{O}(D)$  and  $L$  are isomorphic. We will show that  $\mathcal{W} \sim 28\lambda$  and that  $\mathcal{K} \sim 7\lambda$ . The divisor  $\mathcal{W} - 4\mathcal{K}$  is then linearly equivalent to zero and by Corollary 2.8 it is effective. But in the variety  $\mathcal{M} = \mathcal{M}_{10}^o$  the only effective divisor  $D$  linearly equivalent to zero is  $D = 0$ : since  $\mathcal{M}$  has a projective compactification with boundary of codimension 2, if  $D$  were not zero, there would exist a complete curve  $T \subset \mathcal{M}$  not contained in  $D$  and intersecting  $D$ ; since  $D \sim 0$  we have  $D.T = \deg(\mathcal{O}(D)|_T) = 0$ , a contradiction. It follows that  $\mathcal{W} = 4\mathcal{K}$ .

**Proposition 3.1.**  $\mathcal{W} \sim 28\lambda$ .

PROOF: Since  $\mathcal{W}$  is the divisor of zeros of the section  $\det(\Phi)$ ,  $\mathcal{W}$  belongs to the class  $c_1(\pi_*(\omega^{\otimes 3})) - c_1(\wedge^2 \pi_*(\omega))$ . From [Mu], 5.10,  $c_1(\pi_*(\omega^{\otimes 3})) \sim 37\lambda$ . By the splitting principle if  $E$  is a bundle of rank  $r$  then  $c_1(\wedge^2 E) = (r-1)c_1(E)$ , so  $c_1(\wedge^2 \pi_*(\omega)) \sim 9\lambda$  and the result follows.

Computing the class of  $\mathcal{K}$  will require some more preparation. We start with some enumerative formulas. If  $f : X \rightarrow B$  is a flat family of curves, where  $X$  and  $B$  are smooth complete and  $\dim(B) = 1$ , it follows from the Leray spectral sequence that  $\chi(X, \mathcal{O}_X) = \chi(B, \mathcal{O}_B) - \chi(B, R^1 f_* \mathcal{O}_X)$ . Applying Riemann-Roch and duality to  $E = R^1 f_* \mathcal{O}_X$ , we obtain  $\chi(E) = \deg(E) + \text{rk}(E)\chi(\mathcal{O}_B)$  and  $R^1 f_* \mathcal{O}_X = (f_* \omega_{X|B})^* \circ$

$$\deg(\lambda_{X|B}) = \chi(X, \mathcal{O}_X) - \chi(B, \mathcal{O}_B)\chi(C, \mathcal{O}_C)$$

where we write  $\lambda_{X|B}$  for  $\det(f_* \omega_{X|B})$  and where  $C$  is a general fiber of  $f$ .

For example, if  $C \subset S$  is a smooth curve on a smooth surface which moves in a pencil, consider  $f : \tilde{S} \rightarrow \mathbf{P}^1$  where  $\tilde{S}$  is the blow-up of  $S$  at the base locus of the pencil. Then  $\deg(\lambda_f) = \chi(\tilde{S}, \mathcal{O}_{\tilde{S}}) - 1 + g_C = \chi(S, \mathcal{O}_S) - 1 + g_C$  since  $\chi$  is a birational invariant. In particular, if  $S$  is a K3 surface,

$$(3.2) \quad \deg(\lambda_f) = 1 + g_C.$$

If  $C$  is a very ample smooth curve on a smooth complete surface  $S$ , let  $\mathcal{D} \subset |C|$  denote the discriminant hypersurface, consisting of singular members of the complete linear system  $|C|$ . If we consider a general (Lefschetz) pencil in  $|C|$  and apply the Leray spectral sequence to the constant sheaf  $\mathbf{C}$  this time, we may count the number of singular fibers and obtain (see [G-H], pp. 508-510 for details)  $\deg(\mathcal{D}) = 4(g_C - 1) + C^2 + \chi_{top}(S)$ . In particular, if  $S$  is a K3 surface,

$$(3.3) \quad \deg(\mathcal{D}) = 6(g_C + 3).$$

**Lemma 3.4.** *If  $S$  is a general K3 surface of genus 10, then*

- a) *only finitely many smooth curves  $C$  in the linear series  $|\mathcal{O}_S(1)|$  have automorphisms.*
- b) *the linear series  $|\mathcal{O}_S(1)|$  contains at most a 2 dimensional family of curves with a single node and with automorphisms.*
- c)  *$S$  carries a Lefschetz pencil consisting entirely of curves without automorphisms.*

PROOF: a) Consider a 19 dimensional family  $\mathcal{F}$  of K3 surfaces of genus 10 in  $\mathbf{P}^{10}$  which dominates  $\mathcal{F}_{10}$  (see, e.g., [M] for a construction) and let  $\mathcal{P}$  be the canonical  $\mathbf{P}^{10}$  bundle over  $\mathcal{F}$  (whose fiber at  $S$  is  $|\mathcal{O}_S(1)|$ ). Let  $k$  be the dimension, for a general  $S$  in  $\mathcal{F}$ , of the subset of  $|\mathcal{O}_S(1)|$  representing smooth curves with nontrivial automorphisms. We want to show that  $k \leq 0$ . By the definition of  $k$  there exists a subvariety  $\mathcal{A} \subset \mathcal{P}$  of dimension  $19 + k$  consisting of smooth curves with automorphisms, such that  $\mathcal{A}$  dominates  $\mathcal{F}$ . Let  $\mu : \mathcal{A} \rightarrow \mathcal{M}_{10}$  be the moduli map.

As  $S$  is general, its Picard group is isomorphic to  $\mathbf{Z}$ , generated by  $\mathcal{O}_S(C)$ . It then follows immediately from the main theorem of [G-L] that  $S$  contains no  $n$ -gonal curves for  $n \leq 5$ . But the largest component of curves with automorphisms in  $\mathcal{M}_{10}$  which are not of this type has dimension 16 and consists of curves with an involution such that the quotient has genus 3. Thus the fibers of  $\mu$  are at least  $k + 3$ -dimensional.

On the other hand the dimension of the fibers of  $\mu$  is constant in a linear series  $|\mathcal{O}_S(1)|$  and generically this dimension is 3 (as follows from the proof of Proposition 2.2). Thus  $k \leq 0$  as was to be shown.

b) The argument in this case is similar, except that we work in  $\Delta_0 \subseteq \mathcal{M}_{10}$ , the boundary component of  $\mathcal{M}_{10}$  representing curves of arithmetic genus 10 with one node. Here the locus of curves with non-trivial automorphisms has dimension 17, consisting of hyperelliptic curves of (geometric) genus 9 with two points conjugate under the involution identified. We find  $k \leq 2$ . (Perhaps a more refined analysis would improve this estimate.)

c) This is an immediate consequence of a) and b).

**Proposition 3.5.**  $\mathcal{K} \sim 7\lambda$

PROOF: Fix a general  $S \in \mathcal{F}_{10}$ , and let  $C \subset S$  be a smooth genus 10 curve. Consider a general Lefschetz pencil  $\ell \subset |C|$ . By Lemma 3.4  $\mu(\ell) \subset \bar{\mathcal{M}}$ , where  $\bar{\mathcal{M}}$  is the moduli space of stable genus 10 curves without automorphisms. The Picard group of the smooth variety  $\bar{\mathcal{M}}$  is freely generated by  $\lambda$  and the classes of the divisors  $\Delta_0, \Delta_2, \Delta_3, \Delta_4, \Delta_5$  where for  $i > 0$ ,  $\Delta_i$  consists of stable curves with a node that separates the curve into components of genus  $i$  and  $10 - i$ , and  $\Delta_0$  is the divisor of stable curves with a singular irreducible component (as follows from [A-C] §4 and [C] §1.3).



Denote  $\bar{\mathcal{K}}$  the closure of  $\mathcal{K}$  in  $\bar{\mathcal{M}}$ . Then we have a relation

$$(3.6) \quad \bar{\mathcal{K}} \sim a.\lambda - b_0.\Delta_0 - b_2.\Delta_2 - b_3.\Delta_3 - b_4.\Delta_4 - b_5.\Delta_5$$

with  $a, b_i \in \mathbf{Z}$ . Now we pull-back (3.6) to  $\ell$  in order to determine  $a$ . Since the surface  $S$  is general, its Picard group is generated by the class of  $C$  and then there are no reducible curves in  $|C|$ . This implies that  $\Delta_i.\ell = 0$  for  $i > 0$  (notice that since  $\ell$  is general its singular members have only nodes as singularities). From (3.3),  $\Delta_0.\ell = 78$  (notice that  $\tilde{S}$ , the blow-up of  $S$  along the base locus of the pencil  $\ell$ , is smooth and hence  $\mu(\ell)$  is transverse to  $\Delta_0$ ) and from (3.2) we obtain  $\lambda.\ell = 11$ .

To find  $\bar{\mathcal{K}}.\ell = \deg \mu^*(N_{\bar{\mathcal{K}}|\bar{\mathcal{M}}})|_{\ell}$ , we need to compute the degree of the line bundle over  $\ell$  with fiber  $H^2(S, T_S(-C))$  for  $C \in \ell$  (Remark 2.5). More precisely, suppose  $\ell$  is spanned by  $C_0 = \{s_0 = 0\}$  and  $C_1 = \{s_1 = 0\}$  for  $s_0, s_1 \in H^0(S, L)$  (we write  $L = \mathcal{O}_S(C)$ ). We have a diagram

$$\begin{array}{ccc} \tilde{S} \subset S \times \mathbf{P}^1 & \xrightarrow{g} & S \\ \downarrow f & & \\ \mathbf{P}^1 & & \end{array}$$

and  $\tilde{S} = \{(x, t_0, t_1) | t_0.s_0(x) + t_1.s_1(x) = 0\} \subset S \times \mathbf{P}^1$  is the zero set of a section of  $f^*\mathcal{O}_{\mathbf{P}^1}(1) \otimes g^*L$ . Then

$$\begin{aligned} \bar{\mathcal{K}}.\ell &= \deg R^2 f_*(T_{S \times \mathbf{P}^1|_{\mathbf{P}^1}}(-\tilde{S})) \\ &= \deg R^2 f_*(g^*T_S \otimes g^*(L^*) \otimes f^*\mathcal{O}_{\mathbf{P}^1}(-1)) \\ &= \deg R^2 f_*(g^*(T_S \otimes L^*)) \otimes \mathcal{O}_{\mathbf{P}^1}(-1) \end{aligned}$$

which equals (by base change and cohomology)  $\deg H^2(S, T_S \otimes L^*) \otimes \mathcal{O}_{\mathbf{P}^1}(-1) = -1$ .

Combining these results we obtain the relation

$$(3.7) \quad -1 = 11a - 78b_0.$$

The integral solutions to this equation are  $a = 7 + 78k$ ,  $b_0 = 1 + 11k$  for  $k \in \mathbf{Z}$ . We know (2.8) that  $\mathcal{W} \geq 4\mathcal{K}$  and (3.1) that  $\mathcal{W} \sim 28\lambda$ . Hence  $0 \leq a \leq 7$  and so  $k = 0$ ,  $a = 7$ , as desired.

As explained at the beginning of this section, the linear equivalence  $\mathcal{W} \sim 4\mathcal{K}$  together with the inequality  $\mathcal{W} \geq 4\mathcal{K}$  implies  $\mathcal{W} = 4\mathcal{K}$ ; this completes the proof of the main theorem.

REMARK 3.8. Note that our computation of the class of  $\mathcal{K}$  in  $\text{Pic}(\mathcal{M})$  uses the inequality  $a \leq 7$  (coming from Corollary 2.8 and Proposition 3.1) and the equality 3.7, together with the fact that the coefficients  $a$  and  $b_0$  in 3.7 are *integral*. This integrality is why we work in the smooth variety  $\mathcal{M}_{10}^o$ . A more traditional approach, which we were unable to carry out, would proceed by writing down several pencils of genus 10 curves, computing their intersections with  $\bar{\mathcal{K}}$ ,  $\lambda$ , and the  $\Delta_i$ , and then solving the resulting system of linear equations over  $\mathbf{Q}$ .

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